Laboratory Workup of Lymphoma in Adults

Guideline From the American Society for Clinical Pathology and the College of American Pathologists

Early Online Release
Publication: American Journal of Clinical Pathology and Archives of Pathology & Laboratory Medicine

November 11, 2020
Outline

• Introduction
• Key questions and results
• Guideline statements (recommendations)
• Good Practice Statements
• Conclusion
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Introduction

• Diagnosis and classification of lymphoma has become a highly complex, multi-modality process that requires rigorous attention to and quality assurance of pre-analytical, analytical, and post-analytical details.

• However, little guidance exists regarding the appropriate handling, testing, and reporting of lymphoma specimens.
Introduction, continued

- Practitioners do not generally have the bandwidth to assimilate the universe of evidence into coherent conclusions and apply them
  - Highly variable quality of scientific evidence
  - Highly variable practice environments with different diagnostic capabilities need tailored approach
  - Often conflicting or confusing evidence across studies
  - Highly biased publications dominated by retrospective single institution studies
  - Insufficient focus on patient perspective and preference
Key Questions and Results
Overarching Key Question

- What are the specimen requirements for accurate diagnosis in all adult patients with clinical features raising consideration of lymphoma?
## OUR KEY QUESTIONS

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<th>KQ1a:</th>
<th>To what degree do specimen types allow for accurate primary diagnosis of indolent, aggressive and Hodgkin lymphoma?</th>
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<td>KQ1b:</td>
<td>For each specimen type, what are the optimum and minimum requirements for accurate primary diagnosis or exclusion of lymphoma?</td>
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<td>What are the appropriate analytical triage processes by which fresh tissue can be distributed for indolent, aggressive and Hodgkin lymphoma?</td>
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<td>KQ2:</td>
<td>What are the diagnostic test characteristics of the available additional assays and how does additional testing of the primary specimen influence the diagnostic accuracy to enable actionable therapy for indolent, aggressive and Hodgkin lymphoma?</td>
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Results

- 13 guideline statements were developed to optimize specimen selection, ancillary diagnostic testing, and appropriate follow up for safe and accurate diagnosis of indolent and aggressive lymphoma
Guideline Statements
Statement 1

1. **Strong Recommendation.** – Clinical care providers should use surgical biopsy when feasible in a clinical setting where Hodgkin lymphoma is highly suspected.
Rationale

- Studies compared core needle biopsy (CNB) to surgical biopsy (where available) and indicated lower diagnostic sensitivity of CNB for HL than for NHL
  - Positive predictive value of CNB is high
  - Negative predictive value is low (as many as 50% of patients may require follow up with open biopsy)
Rationale, continued

Other factors:

• Bias in site selection (most accessible versus likelihood of involvement)

• Paucity of neoplastic cells and mimickers
Statement 2

2. Recommendation. – Clinical care providers should obtain excisional or core needle biopsy (CNB) specimens in patients with high suspicion of lymphoma.
Rationale

- Core needle biopsy equivalent or superior to open biopsy in 2 well-controlled prospective trials:
  - CNB not statistically different from surgical biopsy:
    - sensitivity 92%, PPV 97%, NPV 85%
  - Surgical biopsy also has false negative results (selection bias):
    - sensitivity 88.7%, NPV as low as 54.3%
Statement 3

3. Strong Recommendation. Clinical care providers should not use fine needle aspiration (FNA) cytomorphology alone without ancillary testing to achieve a definitive diagnosis of lymphoma.

- *Note:* Cytomorphology alone without ancillary studies has low sensitivity and low predictive value.
- *Note:* A defined subset of lymphoma requires architectural assessment and cannot be reliably diagnosed and subclassified by FNA.
Statement 3

Rationale

• FNA most frequently used to diagnose non-hematopoietic tumors, lymphoma incidence is 6-7%
• Sensitivity for lymphoid lesion versus “not lymphoma” as high as 95%
• High rate of incorrect classification, particularly high for T-cell lymphomas (30%), Hodgkin lymphoma (nearly 50%)
• Addition of immunophenotyping and cell blocks markedly improves diagnosis of B-cell lymphomas with sensitivity as high as 89-93%
Statement 3

Rationale

• In difficult to reach areas (such as lung), FNA can also have significant complication rates

• Study of transthoracic FNA showed 25% complication rate:
  o Pneumothorax 20%
  o Bleeding 8%, including 1 death in patient with coagulopathy
Statement 4

4. **Strong Recommendation.** Clinical care providers should follow-up patients with “negative” results for persistent signs and symptoms of lymphoma and pursue larger volume biopsy when clinical suspicion for lymphoma persists.
Rationale

• Comparison of open surgical biopsy with power Doppler ultrasonography-assisted CNB using a 16-gauge needle

• CNB yielded inadequate samples in 2.1% of procedures, which were excluded from analysis

• NPV was 54.3% for open surgical biopsy and 84.5% for CNB, with the gold standard being subsequent biopsy demonstrating lymphoma

• Possible bias in the determination of which lymph node to biopsy
Statement 5

5. *Conditional Recommendation.* – Clinical care providers may use positron emission tomography with 2-deoxy-2-[fluorine-18]fluoro-D-glucose (FDG-PET) to identify sites for biopsy in patients with suspected transformed/aggressive-histology lymphoma. As feasible, biopsies should be directed to the site of greatest FDG avidity.
Rationale

- Application of PET or PET-CT: to guide the initial biopsy site for patients with suspected lymphoma, or to investigate the potential for histologic transformation in patients with suspected or known indolent lymphoma
  - Consensus guidelines recommend the use of PET in combination with computed tomography (PET-CT) for staging and end of treatment response assessment
  - Studies also suggested that FDG uptake, generally quantified by measuring the standardized uptake value (SUV), trended higher in patients with aggressive histology lymphomas
Rationale, continued

- Application of PET or PET-CT: to guide the initial biopsy site for patients with suspected lymphoma, or to investigate the potential for histologic transformation in patients with suspected or known indolent lymphoma.
  
  - Data supports the ability of PET to distinguish between indolent vs aggressive histologies
    - patients with indolent lymphomas had PET scans that were reliably associated with SUV ≤13
    - sensitivity and PPV of PET-based biopsy: 94%
    - specificity and NPV of PET-based biopsy: 95%
Statement 6

6. *Conditional Recommendation.* – Clinical care providers may obtain bone marrow biopsies for the primary diagnosis in select patients with suspected lymphomas.

- *Note:* For certain lymphoma types (eg, splenic low-grade lymphomas, lymphoplasmacytic lymphomas [LPL]) bone marrow biopsy may be preferred over more invasive surgical methods.
Rationale

• A majority of splenic lymphomas have bone marrow (BM) involvement and can be safely diagnosed with BM examination with sufficient information for therapy planning

• Some lymphomas only involve BM and require BM examination, eg, lymphoplasmacytic lymphoma

• Most literature on BM lymphoma is on staging efficacy; some high-grade lymphomas (eg, Burkitt lymphoma) have high incidence of BM involvement and can potentially be diagnosed with a low risk staging BM examination
Statement 7

7. *Conditional Recommendation.* – Clinical care providers may use cerebrospinal fluid (CSF) for the evaluation of primary or secondary central nervous system (CNS) lymphoma in select patients.
8. **Strong Recommendation.** – Clinical care providers should use a combined morphologic and flow cytometric evaluation of cerebrospinal fluid (CSF) in the investigation of possible primary or secondary central nervous system (CNS) lymphoma in select patients.
Rationale

- Flow cytometric evaluation of CSF improves diagnostic accuracy in the diagnosis of primary or secondary CNS lymphoma when compared with morphologic examination alone
  - combined morphologic and flow cytometric evaluation of CSF increased the PPV from 50% (morphology only) to 92% (combined morphology and flow cytometry) for CNS lymphoma.
  - NPV for combined morphologic and flow cytometric evaluation was 52% in unselected patients; however, in higher risk patients (history of lymphoma and/or suspicious findings on brain imaging) the NPV of combined analysis was 89%
Rationale, continued

• A combined morphologic and flow cytometric approach maximizes the ability to detect lymphomatous involvement of CSF.
  o A study of 51 patients with newly diagnosed aggressive B-cell lymphomas, 11 patients (22%) were positive by flow cytometric assessment, but only one of the 11 patients had positive morphologic findings
  o Flow cytometric analysis was more sensitive than cytology for the detection of lymphoma in patients with relapsed/treated disease
  o Morphologic examination can be very helpful for the identification of aggressive lymphomas in paucicellular CSF specimens, which may be falsely negative by flow cytometric analysis
Statement 9

9. Strong Recommendation. – Based on low negative predictive values, clinical care providers should follow-up patients with “negative” results for persistent signs and symptoms of CNS lymphoma and pursue repeat CSF examination or biopsy when clinical suspicion for lymphoma persists.
Rationale

- There is historical documentation of high false negative rates for CSF evaluation of lymphoma likely due to:
  - Low cellularity of CSF samples
  - Inadequate sample volumes
  - Challenges in differentiating lymphoma cells from reactive cells
  - Sites of CNS involvement distant from the leptomeninges
  - Exposure to corticosteroids prior to sampling
Rationale, continued

• An initial negative or non-diagnostic test result may not definitively rule out the presence of lymphoma
  o Continued monitoring is required to determine if open brain biopsy (in patients with parenchymal brain lesions) or further CSF sampling is necessary
  o Repeated sampling, sending larger volumes, and addition of ancillary testing may reduce false negative CSF evaluations

• Clinical judgment is required to determine whether further sampling or pursuit of tissue (brain) biopsy is preferred when clinical or radiographic suspicion for lymphoma remains
Statement 10

10. *Strong Recommendation.* – Clinical care providers should use immunophenotyping by flow cytometry and/or immunohistochemistry (IHC) in addition to morphology for the evaluation of specimens for the diagnosis and subclassification of lymphomas.
Rationale

- Immunophenotyping by IHC staining and/or flow cytometry, in addition to morphology, is well established as critical for lymphoma diagnosis and subtyping
  - Numerous studies support that flow cytometry of fresh, unfixed tissue can be used to identify clonal B-cell populations in a variety of specimens and lymphoma subtypes
    - Identification of clonal B-cell populations in biopsies from 382 of 471 patients (81%) with B-cell NHLs, including low and high-grade B-cell lymphomas; also identified clonal B-cell populations in 147 of 169 lymph node biopsy or FNA specimens (87%) involved by B-cell lymphoma
    - Flow cytometric analysis identified clonal B-cells, based on restricted immunoglobulin light chain expression, with a sensitivity of 82-88%, specificity of 72-100%, PPV of 93% and NPV of 48%
11. Conditional Recommendation. – Conditional Recommendation. Clinical care providers may use fluorescence in situ hybridization (FISH) analysis when evaluating specimens in patients with suspected or confirmed lymphoma, or in the subclassification of lymphoma. FISH analysis is feasible on specimens obtained by fine needle aspiration (FNA) and may increase diagnostic yield.

• Note: Demonstration of the appropriate rearrangements is required for a diagnosis of high-grade B-cell lymphoma with MYC and BCL2 and/or BCL6 rearrangements.
Rationale

- Two studies reported directed use of particular FISH probes to aid in diagnosis of lymphoma in FNA specimens
- FISH probes were chosen based on clinical history, morphologic features, and/or immunophenotype
  - Study did not use up-front panels of FISH probes, which would be expected to significantly increase costs and risk of false positive results
  - FISH evaluation was requested for subclassification of DLBCL, Burkitt lymphoma, high-grade B-cell lymphoma, FL, and mantle cell lymphoma
  - Results: FISH was positive in 61% of cases, negative in 26% of cases, and indeterminate in 12% (including 2% that failed to hybridize) in one study; successful results in 95% of the 298 cases in which FISH was deployed
Rationale, continued

• The revised *WHO Classification of Tumours of Haematopoietic and Lymphoid Tissues* state that rearrangements such as high-grade B-cell lymphoma with *MYC* and *BCL2* and/or *BCL6* (double- or triple-hit lymphomas require detection by cytogenetic or molecular methods

• It is reasonable to perform FISH for *MYC* translocations on all samples with large B-cell morphology and if positive, proceed with *BCL2* and/or *BCL6* FISH
Statement 12

12. **Conditional Recommendation.** – Clinical care providers should not routinely use up-front polymerase chain reaction (PCR)-based clonality studies of antigen receptor genes (ie, T-cell receptor and immunoglobulin) in the initial investigation of lymphoma. There may be a confirmatory role in certain settings for these studies.
Rationale

- The evidence base shows high but imperfect sensitivity and specificity of molecular testing for immunoglobulin (IG) gene rearrangements.
  - Data is scarce on the performance characteristics of up-front molecular testing for T-cell receptor (TCR) gene rearrangements in formulating the recommendation.
- Concerns about the potential harms associated with up-front molecular testing that could lead to possible false positive or false negative diagnoses, unnecessary medical costs, and use of limited biopsy material for unnecessary testing.
Rationale, continued

• Study with 98 patients were tested for B- and T-cell clonality testing in patients with B-cell lymphoma and reactive lymphadenopathy.

  o The reported sensitivity and specificity of B-cell clonality testing for B-cell lymphoma were 77% (95% CI, 58-90%) and 88% (95% CI, 78-95%) respectively.

  o False positive T-cell clonality results were reported in 10% of B-cell lymphomas and 13% of reactive lymphadenopathies
Rationale, continued

- Flow cytometry alone combined with morphology is highly effective in establishing diagnosis without molecular studies.
- The added value of up-front IG molecular clonality studies in the initial diagnosis setting combined with routine flow cytometry immunophenotyping appears to be quite limited.
- Study with 149 B-cell lymphomas, 131 (88%) showed light chain restriction by flow immunophenotyping; Of the 18 cases without light chain restriction, 14 (78%) were DLBCLs, which generally can be diagnosed based on histologic features without the need for clonality studies of any sort.
Rationale, continued

- Data on T-cell clonality testing were scant in the evidence base; neither sensitivity nor the “added value” of T-cell clonality testing for detection of T-cell lymphoma could be assessed based on the 5 identified studies in the evidence base.
  - Study reported T-cell clonality testing on 30 B-cell lymphomas and 68 reactive lymphadenopathies; false positive T-cell clonality results were reported in 10% of B-cell lymphomas and 13% of reactive lymphadenopathies.
  - Given the low incidence of T-cell lymphoma, even relatively high specificity could still lead to significant numbers of false positive TCR clonality results in unselected patients.
Statement 13

13. *Conditional Recommendation.* – Clinical care providers may use molecular tests to aid in classification of lymphomas. For example, pathologists may use *MYD88* L265P to aid in the classification of indolent B-cell lymphoma.

- *Note:* This recommendation statement refers to non-FISH molecular tests.
Rationale

• The \textit{MYD88} L265P mutation is the only example of a mutation that can be used to facilitate the diagnosis of a specific lymphoma, namely Lymphoplasmacytic lymphoma
  
  o Sanger sequencing and allele-specific PCR can detect the L265P mutation in formalin-fixed and decalcified bone marrow samples
  
  o PCR was able to detect the mutation in bone marrow infiltrations below 1% of lymphoma cells and clearly distinguish patients with confirmed Waldenström macroglobulinemia (WM)/LPL and other indolent lymphomas, including CLL/SLL and splenic marginal zone lymphoma.

• Panel members agreed that mutational analysis may be valuable when classifying lymphoma subtypes
Good Practice Statements
Good Practice Statements

• Good practice statements (GPS) are recommendations panels may consider important but are not appropriate to be formally rated for quality of evidence

• GPSs reflect expert consensus opinions supported by a limited number of studies and data that were not formally included in the evidence-base or systematically rated.
Good Practice Statements

• The guideline panel wanted to address the following questions:
  o Under what circumstances does second review by an expert in hematopathology improve the accuracy of diagnosis?
  o To what extent do pathologists use clinical characteristics and radiographic data in the formation of pre-test probability and what is the role of this information in determining the diagnosis?
  o To achieve efficient patient management, what elements related to specimen handling should be included in the pathology report, and if a biopsy specimen is deemed suboptimal for diagnosis, what elements should be included in the report to explain why the specimen is suboptimal?
Good Practice Statements

Secondary reviews

For the diagnosis of difficult-to-classify lymphomas, laboratories should have a robust peer review process. Peer review may include a second review by a more experienced pathologist or a consensus review by a group of pathologists.

Clinical information

Pathologists should use clinical information in the work-up and classification of lymphoma and lymphoma subtypes.
Good Practice Statements

Reporting elements

Laboratorians should include specimen handling elements in the final pathology report.

Clinical information

Pathologists should use clinical information in the work-up and classification of lymphoma and lymphoma subtypes.
Good Practice Statements

Tissue Utilization

• Laboratories should establish policies to ensure efficient allocation and utilization of tissue for lymphoma testing.
Good Practice Statements

Turnaround times

• Laboratories should provide appropriate turnaround times for lymphoma test results to inform clinical decision-making
  • Conventional cytogenetics 8-10 days
  • FISH for unique translocations 5-7 days
  • Flow cytometric analysis 1-2 days
  • Immunohistochemistry 1-2 days
  • Morphological assessment 1-2 days
  • PCR for Ag receptor gene rearrangements 5 days

• Laboratories that send out tests for lymphoma diagnosis should have a process in place to ensure that specimens are sent and reviewed by outside reference laboratories in a timely manner.
What’s Missing?

• No Recommendations related to key question 1b

For each specimen type, what are the optimum and minimum requirements for accurate primary diagnosis or exclusion of lymphoma?

○ Specifically, nothing related to:
  – Optimal biopsy techniques (needle gauge, number of passes, operator experience/training)
  – Handling (ischemic time, type and length of fixation, etc.

○ Common themes:
  – “more than one needle core”, (2-5)
  – Radiographic guidance (ultrasound, CT) for needle biopsies
  – Formalin fixation better antigen preservation for immunohistochemistry
What’s Missing?

• No Recommendations related to key question 1c

  What are the appropriate analytical triage processes by which fresh tissue can be distributed for indolent, aggressive and Hodgkin lymphoma?

• Practical considerations influencing triage process:
  o Institutional capabilities and objectives:
    – Frequency of lymphoma specimens, communication between departments, workflow
    – On-site versus off-site immunophenotyping capabilities potentially introducing delays and specimen deterioration
    – Tissue needed for research?
Conclusions
Conclusions

- The primary diagnosis and classification of lymphoma can be achieved through analysis of a variety of specimen types.
- The evidence-based recommendations may guide decision-making regarding appropriate specimens, diagnostic capabilities, and correct utilization of ancillary testing.
- Disease prevalence in patient populations, availability of ancillary testing, and diagnostic goals should be incorporated into algorithms tailored to each practice environment.
Conclusions, continued

• To fully inform decision-making, it is important not only to examine the advantages of the available approaches, but also assess their limitations.

• Understanding the limitations and advantages as demonstrated by the available evidence will help health care providers and patients manage expectations and choose a diagnostic testing strategy that is best suited to their goals and resources.

• The evidence base addressing the key questions and supporting the recommendation statements is overall quite limited, and suggests many opportunities for further study.
References

• TBD
Guideline Development Process
Collaboration

- The ASCP, CAP, and ASH convened a multi-disciplinary expert panel to systematically review published documents and develop a formal, evidence-based guideline for the pre-analytic phase of testing with a focus on specimen requirements for the diagnosis of lymphoma.
Guideline Funding and Management of Conflict of Interest

- The ASCP, CAP, and ASH provided funding for the administration of the project
  - Direct funding from for-profit companies was not accepted.
  - All EP members volunteered their time and received travel support from their organizations to attend project meetings.

- Members disclosed all financial relationships with and interests from 24 months prior to appointment as well as during the guideline development process
  - Also disclosed nonfinancial interests relevant to the guideline topic.

- Disclosures were reviewed by a disclosure of interest (DOI) Review Committee composed of members and staff of the three organizations
# Multi-disciplinary Guideline Panel

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<th><strong>CO-CHAIRS</strong></th>
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Panel proceedings

- The expert panel met multiple times via conference call/webinar throughout the guideline development and met twice in-person to review data and draft the recommendations.

- The draft recommendations were released to the public for comments September 27 through October 29, 2018.

- Comments were reviewed and the panel agreed to revisions. 13 recommendations were made.

- All changes were incorporated prior to manuscript approval.
Institute of Medicine CPG Standards

- Establishing transparency
- Management of COI
- Group composition
- Systematic review
- Rating strength of recommendations
- Articulating recommendations
- External review
- Updating

Systematic Reviews and Clinical Practice Guidelines Improve Healthcare Decision Making

We need better evidence and guidance to make informed healthcare choices

Define Clinical Problem

DEVELOPMENT OF SYSTEMATIC REVIEWS

Assemble Multidisciplinary Team

Identify, Assess, and Synthesize Evidence

Produce Systematic Review Report

APPRAISE SYSTEMATIC REVIEWS AND OTHER EVIDENCE

Assemble Guideline Development Group

DEVELOPMENT OF CLINICAL PRACTICE GUIDELINES

Produce Clinical Practice Guideline

Incorporate Expert Opinion and Patient Preferences and Characteristics

Use Guidance to Make Better Informed Decisions

Improved outcomes and quality of care

http://resources.iom.edu/widgets/systematic-review/infographic.html
1. Submit & Select Ideas
2. Determine Scope & Form Panel
3. Research & Review Evidence
4. Draft Recommendations
5. Open Comment Period
6. Complete Recommendations & Draft Manuscript
7. Review & Approve
8. Publish & Implement
9. Maintain

- Reaffirm: Confirmation complete guideline is accurate and up to date and then place into step 9
- Update: Refresh guideline and start at step 2 of process
- Sunset: Archive guideline

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Using the GRADE Approach

• Grading of Recommendations Assessment, Development and Evaluation (GRADE)
• GRADE uses a standardized method which promotes transparency in the rating of evidence and strength of recommendations, including standardized language.
• GRADE is internationally recognized and allows a common platform to collaborate with other clinical societies that use the methodology.
Literature Search

• Search dates were January 1, 2007 – July 28, 2017 and refreshed in PubMed and Embase September 15, 2018 and October 11, 2019

• The searches identified 6,783 abstracts (from initial search and literature refreshes) and ultimately, 224 studies met the selection criteria
High Level Systematic Review and Recommendation Development Overview

Data extraction and quality assessment conducted by librarian and unbiased expert methodologist, other functions fulfilled by expert panel and advisory panel
Considered Judgement – a formal process for Interpretation of the Evidence

**Aggregate Evidence Quality**
- Overall certainty assessment by methodologist
- Quality of pool of evidence informing each Recommendation
- Potential for bias and threats to validity across group of studies
- **Consistency, precision, directness, publication bias across group of studies**

**Balance of Effects**
- Discussion with Expert Panel members
- Benefits of interventions
- Harms of interventions
- **What is the balance between the harms and the benefits?**

**Other Factors**
- **Values and preferences (clinician and patient)**
- **Health equity**
- Resources
- Acceptability
- Feasibility
Disclaimer

Clinical practice guidelines (CPGs) reflect the best available evidence supported in practice. They are intended to assist physicians and patients in clinical decision-making and to identify questions and settings for further research. With the rapid flow of scientific information, new evidence may emerge between the time a CPG is developed and when it is published or read. CPGs are not continually updated and may not reflect the most recent evidence. CPGs address only the topics specifically identified therein and are not applicable to other interventions, diseases, or stages of diseases. Furthermore, guidelines cannot account for individual variation among patients and cannot be considered inclusive of all proper methods of care or exclusive of other treatments. It is the responsibility of the treating physician or other health care provider, relying on independent experience and knowledge, to determine the best course of treatment for the patient. Accordingly, adherence to any CPG is voluntary, with the ultimate determination regarding its application to be made by the physician considering each patient’s individual circumstances and preferences. The ASCP and CAP organizations make no warranty, express or implied, regarding CPGs and specifically excludes any warranties of merchantability and fitness for a particular use or purpose. The ASCP and CAP organizations assume no responsibility for any injury or damage to persons or property arising out of or related to any use of this statement or for any errors or omissions.
Reference
