Protocol for the Examination of Specimens from Patients With Carcinoma of the Distal Extrahepatic Bile Ducts

Version: 4.2.0.0
Protocol Posting Date: June 2021
CAP Laboratory Accreditation Program Protocol Required Use Date: March 2022

The changes included in this current protocol version affect accreditation requirements. The new deadline for implementing this protocol version is reflected in the above accreditation date.

For accreditation purposes, this protocol should be used for the following procedures AND tumor types:

<table>
<thead>
<tr>
<th>Procedure</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Local or Segmental Bile Duct Resection</td>
<td>Includes Local or Segmental Bile Duct Resection and Pancreaticoduodenectomy (Whipple resection)</td>
</tr>
</tbody>
</table>

**Tumor type**

<table>
<thead>
<tr>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Invasive carcinomas including small cell and large cell (poorly differentiated) neuroendocrine carcinoma</td>
</tr>
</tbody>
</table>

This protocol is NOT required for accreditation purposes for the following:

<table>
<thead>
<tr>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biopsy</td>
</tr>
<tr>
<td>Primary resection specimen with no residual cancer (eg, following neoadjuvant therapy)</td>
</tr>
<tr>
<td>Cytologic specimens</td>
</tr>
<tr>
<td>Intraductal papillary neoplasm without associated invasive carcinoma</td>
</tr>
<tr>
<td>Mucinous cystic neoplasm without associated invasive carcinoma</td>
</tr>
</tbody>
</table>

The following tumor types should NOT be reported using this protocol:

<table>
<thead>
<tr>
<th>Tumor type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Well-differentiated neuroendocrine tumors of distal extrahepatic bile duct</td>
</tr>
<tr>
<td>Lymphoma (consider the Hodgkin or non-Hodgkin Lymphoma protocols)</td>
</tr>
<tr>
<td>Sarcoma (consider the Soft Tissue protocol)</td>
</tr>
</tbody>
</table>

**Authors**

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With guidance from the CAP Cancer and CAP Pathology Electronic Reporting Committees.

* Denotes primary author.
Accreditation Requirements
This protocol can be utilized for a variety of procedures and tumor types for clinical care purposes. For accreditation purposes, only the definitive primary cancer resection specimen is required to have the core and conditional data elements reported in a synoptic format.

- **Core data elements** are required in reports to adequately describe appropriate malignancies. For accreditation purposes, essential data elements must be reported in all instances, even if the response is “not applicable” or “cannot be determined.”
- **Conditional data elements** are only required to be reported if applicable as delineated in the protocol. For instance, the total number of lymph nodes examined must be reported, but only if nodes are present in the specimen.
- **Optional data elements** are identified with “+” and although not required for CAP accreditation purposes, may be considered for reporting as determined by local practice standards.

The use of this protocol is not required for recurrent tumors or for metastatic tumors that are resected at a different time than the primary tumor. Use of this protocol is also not required for pathology reviews performed at a second institution (ie, secondary consultation, second opinion, or review of outside case at second institution).

Synoptic Reporting
All core and conditionally required data elements outlined on the surgical case summary from this cancer protocol must be displayed in synoptic report format. Synoptic format is defined as:

- Data element: followed by its answer (response), outline format without the paired Data element: Response format is NOT considered synoptic.
- The data element should be represented in the report as it is listed in the case summary. The response for any data element may be modified from those listed in the case summary, including “Cannot be determined” if appropriate.
- Each diagnostic parameter pair (Data element: Response) is listed on a separate line or in a tabular format to achieve visual separation. The following exceptions are allowed to be listed on one line:
  - Anatomic site or specimen, laterality, and procedure
  - Pathologic Stage Classification (pTNM) elements
  - Negative margins, as long as all negative margins are specifically enumerated where applicable
- The synoptic portion of the report can appear in the diagnosis section of the pathology report, at the end of the report or in a separate section, but all Data element: Responses must be listed together in one location.

Organizations and pathologists may choose to list the required elements in any order, use additional methods in order to enhance or achieve visual separation, or add optional items within the synoptic report. The report may have required elements in a summary format elsewhere in the report IN ADDITION TO but not as replacement for the synoptic report ie, all required elements must be in the synoptic portion of the report in the format defined above.

Summary of Changes

v 4.2.0.0
- General Reformatting
- Revised Margins Section
- Revised Lymph Nodes Section
- Added Distant Metastasis Section
- Removed pTX and pNX Staging Classification
Reporting Template

Protocol Posting Date: June 2021
Select a single response unless otherwise indicated.

CASE SUMMARY: (DISTAL EXTRAHEPATIC BILE DUCTS)
Standard(s): AJCC-UICC 8

SPECIMEN (Notes A,B)

Procedure
___ Pancreaticoduodenectomy (Whipple resection)
___ Segmental resection of bile duct(s)
___ Choledochal cyst resection
___ Other (specify): ______________________
___ Not specified

TUMOR

Tumor Site (select all that apply)
___ Common bile duct, extrapancreatic: _________________
___ Common bile duct, intrapancreatic: _________________
___ Common bile duct (not otherwise specified): _________________
___ Other (specify): ______________________
___ Not specified

Histologic Type (Note C)
Adenocarcinoma
___ Adenocarcinoma, biliary type (extrahepatic cholangiocarcinoma)
___ Adenocarcinoma, intestinal type
___ Mucinous adenocarcinoma
___ Clear cell adenocarcinoma
___ Signet-ring cell carcinoma (poorly cohesive carcinoma)
___ Adenosquamous carcinoma
___ Mucinous cystic neoplasm with an associated invasive carcinoma

Other carcinoma types
___ Squamous cell carcinoma
___ Undifferentiated carcinoma
___ Large cell neuroendocrine carcinoma
___ Small cell neuroendocrine carcinoma
___ Mixed neuroendocrine-non-neuroendocrine tumor (Mixed adenoneuroendocrine carcinoma)
___ Other histologic type not listed (specify): _________________
+Histologic Type Comment: ______________________

Histologic Grade (Note D)
___ G1, well differentiated
___ G2, moderately differentiated
___ G3, poorly differentiated
___ Other (specify): ______________________
__ GX, cannot be assessed: ________________
__ Not applicable

**Tumor Size**
___ Greatest dimension in Centimeters (cm): ______________ cm
+ **Additional Dimension in Centimeters (cm): ____ x ____ cm**
___ Cannot be determined (explain): ________________

**Tumor Extent (select all that apply)**
___ No invasion (carcinoma in situ / high-grade dysplasia)
___ Confined to the bile duct histologically
___ Invades beyond wall of bile duct
___ Invades duodenum
___ Invades pancreas
___ Posterior surface
___ Anterior surface
___ Vascular bed / groove (corresponding to superior mesenteric vein / portal vein)
___ Invades gallbladder
___ Invades other adjacent structure(s)
___ Duodenum
___ Ampulla
___ Stomach
___ Gallbladder
___ Omentum
___ Celiac axis
___ Superior mesenteric artery
___ Common hepatic artery
___ Other (specify): __________________
___ Cannot be determined (explain): __________________

**Depth of Tumor Invasion**
___ No invasion (carcinoma in situ / high-grade dysplasia)
___ Less than 5 mm
___ 5 to 12 mm
___ Greater than 12 mm
___ Cannot be determined (explain): __________________

**Lymphovascular Invasion (Note E)**
___ Not identified
___ Present
___ Cannot be determined: __________________

**Perineural Invasion (Note E)**
___ Not identified
___ Present
___ Cannot be determined: __________________

**Tumor Comment: __________________**
MARGINS (Note F)

Margin Status for Invasive Carcinoma
___ All margins negative for invasive carcinoma

+Closest Margin(s) to Invasive Carcinoma (select all that apply)
   ___ Proximal bile duct: _________________
   ___ Distal bile duct: _________________
   ___ Bile duct: _________________
   ___ Radial: _________________
   ___ Pancreatic neck / parenchymal: _________________
   ___ Uncinate (retroperitoneal / superior mesenteric artery): _________________
   ___ Proximal (gastric or duodenal): _________________
   ___ Distal (duodenal or jejunal): _________________
   ___ Other (specify): _________________
   ___ Cannot be determined:

+Distance from Invasive Carcinoma to Closest Margin
Specify in Centimeters (cm)
___ Exact distance in cm: _____________ cm
   ___ Greater than 1 cm
Specify in Millimeters (mm)
___ Exact distance in mm: _____________ mm
   ___ Greater than 10 mm
Other
___ Other (specify):
___ Cannot be determined: _________________
___ Not applicable
___ Invasive carcinoma present at margin

Margin(s) Involved by Invasive Carcinoma (select all that apply)
___ Proximal bile duct: _________________
___ Distal bile duct: _________________
___ Bile duct: _________________
___ Radial: _________________
___ Pancreatic neck / parenchymal: _________________
___ Uncinate (retroperitoneal / superior mesenteric artery): _________________
___ Proximal (gastric or duodenal): _________________
___ Distal (duodenal or jejunal): _________________
___ Other (specify): _________________
___ Cannot be determined (explain): _________________
___ Other (specify):
___ Cannot be determined (explain): _________________
___ Not applicable

Margin Status for High-Grade Intraepithelial Neoplasia
___ All margins negative for high-grade intraepithelial neoplasia
___ High-grade intraepithelial neoplasia present at margin

Margin(s) Involved by High-Grade Intraepithelial Neoplasia (select all that apply)
___ Proximal bile duct: _________________
___ Distal bile duct: _________________
___ Bile duct: _________________
___ Pancreatic neck / parenchymal: _________________
___ Proximal (gastric or duodenal): _________________
___ Distal (duodenal or jejunal): ____________________
___ Other (specify): ____________________
___ Cannot be determined (explain): ____________________
___ Other (specify): ____________________
___ Cannot be determined (explain): ____________________
___ Not applicable

+Margin Comment: ____________________

REGIONAL LYMPH NODES

Regional Lymph Node Status
___ Not applicable (no regional lymph nodes submitted or found)
___ Regional lymph nodes present
___ All regional lymph nodes negative for tumor
___ Tumor present in regional lymph node(s)

Number of Lymph Nodes with Tumor
___ Exact number (specify): ____________________
___ At least (specify): ____________________
___ Other (specify): ____________________
___ Cannot be determined (explain): ____________________
___ Other (specify): ____________________
___ Cannot be determined (explain): ____________________

Number of Lymph Nodes Examined
___ Exact number (specify): ____________________
___ At least (specify): ____________________
___ Other (specify): ____________________
___ Cannot be determined (explain): ____________________

+Regional Lymph Node Comment: ____________________

DISTANT METASTASIS

Distant Site(s) Involved, if applicable (select all that apply)
___ Not applicable
___ Non-regional lymph node(s): ____________________
___ Liver: ____________________
___ Other (specify): ____________________
___ Cannot be determined: ____________________

PATHOLOGIC STAGE CLASSIFICATION (pTNM, AJCC 8th Edition) (Note G)
Reporting of pT, pN, and (when applicable) pM categories is based on information available to the pathologist at the time the report is issued. As per the AJCC (Chapter 1, 8th Ed.) it is the managing physician’s responsibility to establish the final pathologic stage based upon all pertinent information, including but potentially not limited to this pathology report.

TNM Descriptors (select all that apply)
___ Not applicable
___ m (multiple primary tumors)
___ r (recurrent)
___ y (post-treatment)
pT Category
___ pT not assigned (cannot be determined based on available pathological information)
___ pTis: Carcinoma in situ / high-grade dysplasia
___ pT1: Tumor invades the bile duct wall with a depth less than 5 mm
___ pT2: Tumor invades the bile duct wall with a depth of 5-12 mm
___ pT3: Tumor invades the bile duct wall with a depth greater than 12 mm
___ pT4: Tumor involves the celiac axis, superior mesenteric artery, and/or common hepatic artery

pN Category
___ pN not assigned (no nodes submitted or found)
___ pN not assigned (cannot be determined based on available pathological information)
___ pN0: No regional lymph node metastasis
___ pN1: Metastasis in one to three regional lymph nodes
___ pN2: Metastasis in four or more regional lymph nodes

pM Category (required only if confirmed pathologically)
___ Not applicable - pM cannot be determined from the submitted specimen(s)
___ pM1: Distant metastasis

ADDITIONAL FINDINGS (Note H)

+Additional Findings (select all that apply)
___ None identified
___ Choledochal cyst
___ Dysplasia
___ Primary sclerosing cholangitis (PSC)
___ Biliary stones
___ Other (specify): ____________________

SPECIAL STUDIES

+Ancillary Studies (specify): ____________________

COMMENTS

Comment(s): ____________________
Explanatory Notes

A. Application

Tumors arising in the biliary tree are classified into 3 groups: intrahepatic, perihilar, and distal (Figure 1). Perihilar tumors are defined as those involving the hepatic duct bifurcation or extrahepatic biliary tree proximal to the origin of the cystic duct; distal tumors as those lesions arising between the junction of the cystic duct-bile duct and the ampulla of Vater. This protocol applies only to cancers arising in the distal extrahepatic bile ducts above the ampulla of Vater (Figure 1) and includes malignant tumors that develop in congenital choledochal cysts and tumors that arise in the intrapancreatic portion of the common bile duct. It does not include low-grade neuroendocrine neoplasms (carcinoids) or tumors arising in the ampulla of Vater. Carcinomas arising in the cystic duct are grouped for staging purposes with carcinomas of the gallbladder. Tumors arising within the intrahepatic bile ducts or perihilar bile ducts are classified and staged using the intrahepatic bile duct protocol or the perihilar bile duct protocol. Tumors of the pancreas and ampulla of Vater are classified separately. Tumors arising from intrapancreatic portion of common bile duct can be difficult to distinguish from pancreatic adenocarcinomas. Symmetric tumor growth around the bile duct and presence of biliary intraepithelial neoplasia favors a bile duct origin.

Figure 1. Anatomy of the biliary system.

References


B. Choledochal Cyst

Carcinomas may arise in choledochal cysts (congenital cystic dilatation or duplications) of the bile duct. Histologically, they are classified in the same way as those arising in the gallbladder or bile ducts. Stones may be found in these cysts. If dysplasia or carcinoma in situ is found on initial microscopic sections, then multiple additional sections should be examined to exclude invasive cancer in other areas of the cyst.
C. Histologic Type
For consistency in reporting, the histologic classification published by the World Health Organization (WHO is recommended). However, this protocol does not preclude the use of other systems of classification or histologic types. By WHO convention, the term *cholangiocarcinoma* is reserved for carcinomas arising in the intrahepatic bile ducts (see intrahepatic bile ducts protocol).

Intraductal neoplasms have a relatively favorable prognosis, while signet-ring cell carcinoma, high-grade neuroendocrine carcinomas, and undifferentiated carcinomas are associated with a poorer prognosis.

References

D. Histologic Grade
For adenocarcinomas, a quantitative grading system based on the proportion of gland formation in the tumor is suggested and shown below.

<table>
<thead>
<tr>
<th>Grade</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>X</td>
<td>Grade cannot be assessed</td>
</tr>
<tr>
<td>1</td>
<td>Well-differentiated (greater than 95% of tumor composed of glands)</td>
</tr>
<tr>
<td>2</td>
<td>Moderately differentiated (50% to 95% of tumor composed of glands)</td>
</tr>
<tr>
<td>3</td>
<td>Poorly differentiated (less than 50% of tumor composed of glands)</td>
</tr>
</tbody>
</table>

By convention, signet-ring cell carcinomas are assigned grade 3. Undifferentiated carcinomas lack morphologic or immunohistochemical evidence of glandular, squamous or neuroendocrine differentiation. This grading scheme is not applicable to poorly differentiated neuroendocrine carcinomas.

For squamous cell carcinomas, a rare tumor type in the extrahepatic bile ducts, a suggested grading system is shown below. If there are variations in the differentiation within the tumor, the highest (least favorable) grade is recorded.

<table>
<thead>
<tr>
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<tr>
<td>2</td>
<td>Moderately differentiated</td>
</tr>
<tr>
<td>3</td>
<td>Poorly differentiated</td>
</tr>
</tbody>
</table>

References

E. Perineural and Vascular/Lymphatic Invasion
Perineural and lymphovascular invasion are common in extrahepatic bile duct carcinomas, although they are found less often in early stage cancers (11%). They should be specifically evaluated because they are associated with adverse outcome on univariate analysis. Although perineural invasion is sometimes useful for distinguishing carcinoma from non-neoplastic glands, caution should be used in interpretation of this finding in ducts affected by primary sclerosing cholangitis because perineural invasion by benign hyperplastic intramural glands has been reported in this setting and in adenomatous hyperplasia.
References

F. Margins
Locoregional recurrence, as opposed to distant metastases, is usually the first site of disease recurrence and is often related to residual tumor located in the proximal or distal surgical margins of the bile duct or from tumor located along the dissected soft-tissue margin in the portal area. Local recurrence (usually at the surgical margins) can be attributed in many cases to tumor spread longitudinally along the duct wall and to perineural and lymphovascular invasion.¹

Complete surgical resection with microscopically negative surgical margins is an important predictor of outcome in multivariate analysis for both perihilar and distal bile duct carcinomas.²³

Malignant tumors of the extrahepatic bile ducts are often multifocal.⁴ Therefore, microscopic foci of carcinoma or intraepithelial neoplasia may be found at the margin(s) even though the main tumor mass has been resected. In some cases, it may be difficult to evaluate margins on frozen-section preparations because of inflammation and reactive change of the surface epithelium or within the intramural mucous glands. If surgical margins are free of carcinoma, the distance between the closest margin and the tumor edge should be measured.

Because 5% of patients with bile duct carcinoma have synchronous carcinomas of the gallbladder, examination of the entire surgical specimen, including the gallbladder, is advised.

References

G. Pathologic Stage Classification
Surgical resection is the most effective therapy for extrahepatic biliary tract carcinomas, and the best estimation of prognosis is related to the anatomic extent (stage) of disease at the time of resection. In particular, lymph node metastases are predictors of poorer outcome.¹²

For malignant tumors of the distal extrahepatic bile ducts, the TNM staging system of the American Joint Committee on Cancer (AJCC) and the International Union Against Cancer (UICC) is recommended.³ The staging system also applies to tumors arising in choledochal cysts.

According to AJCC/UICC convention, the designation “T” refers to a primary tumor that has not been previously treated. The designation "p" refers to the pathologic classification of the TNM, as opposed to
the clinical classification, and is based on gross and microscopic examination. pT entails a resection of the primary tumor or biopsy adequate to evaluate the highest pT category, pN entails removal of nodes adequate to validate lymph node metastasis, and pM implies microscopic examination of distant lesions. Clinical classification (cTNM) is usually carried out by the referring physician before treatment during initial evaluation of the patient or when pathologic classification is not possible.

Pathologic staging is usually performed after surgical resection of the primary tumor. Pathologic staging depends on pathologic documentation of the anatomic extent of disease, whether or not the primary tumor has been completely removed. If a biopsied tumor is not resected for any reason (eg, when technically infeasible) and if the highest T and N categories or the M1 category of the tumor can be confirmed microscopically, the criteria for pathologic classification and staging have been satisfied without total removal of the primary cancer.

**TNM Descriptors**

For identification of special cases of TNM or pTNM classifications, the “m” suffix and “y,” “r,” and “a” prefixes are used. Although they do not affect the stage grouping, they indicate cases needing separate analysis.

The “m” suffix indicates the presence of multiple primary tumors in a single site and is recorded in parentheses: pT(m)NM.

The “y” prefix indicates those cases in which classification is performed during or after initial multimodality therapy (ie, neoadjuvant chemotherapy, radiation therapy, or both chemotherapy and radiation therapy). The cTNM or pTNM category is identified by a “y” prefix. The ycTNM or ypTNM categorizes the extent of tumor actually present at the time of that examination. The “y” categorization is not an estimate of tumor before multimodality therapy (ie, before initiation of neoadjuvant therapy).

The “r” prefix indicates a recurrent tumor when staged after a documented disease-free interval and is identified by the “r” prefix: rTNM.

The “a” prefix designates the stage determined at autopsy: aTNM.

**T Category Considerations**

Tis includes high-grade biliary intraepithelial neoplasia (Billn-3), intraductal papillary neoplasm with high-grade dysplasia, and mucinous cystic neoplasm with high-grade dysplasia. For intraepithelial lesions, a 3-tier biliary intraepithelial neoplasia classification has been proposed.

The term carcinoma in situ is not widely applied to glandular neoplastic lesions but is retained for tumor registry reporting purposes as specified by law in many states. A synoptic report is not required for intraductal papillary mucinous neoplasms and mucinous cystic neoplasms in the absence of an invasive component. For invasive carcinoma associated with intraductal papillary neoplasms and mucinous cystic neoplasms, the invasive portion can be multifocal. The size of the largest focus as well as cumulative size of all invasive carcinoma foci should be included in the report.

The histology of the extrahepatic biliary tree varies along its length, with little smooth muscle in the wall of the proximal ducts as compared with the distal bile duct. The common bile duct lacks serosa, and the fibromuscular wall is surrounded by fat. Tumor infiltration into the fat beyond the fibromuscular wall is considered as extension beyond the bile duct. These anatomic features make it difficult to assess the anatomic level of tumor invasion. Inflammatory changes in the bile ducts and desmoplastic stromal response to tumor may also cause distortion of tissue boundaries. This has led to change in the T
categories in the AJCC 8th edition, with T1-T3 being defined by the measurement of depth of invasion of tumor. The depth is measured from the basement membrane of adjacent normal or dysplastic epithelium to the point of deepest tumor invasion.\(^4\) Properly oriented longitudinal sections through the tumor and including adjacent mucosa are necessary to accurately measure depth of invasion. If the depth is difficult to determine, a best estimate is used. Cutoffs using 0.5 cm and 1.2 cm have yielded better prognostic stratification compared to anatomic level of invasion.\(^3\)

Even though the anatomic level of invasion and direct invasion into the pancreas, duodenum, gallbladder, colon, stomach, and omentum does not affect the T category, it should be included in the pathology report. Lymphatic or venous invasion does not affect the T category. T4 tumors are characterized by involvement of superior mesenteric artery, celiac axis and/or common hepatic artery. In most instances, these tumors are considered unresectable and hence T4 category is determined by radiologic studies and is not usually assigned by pathologists.

**N Category Considerations**

The regional nodes for distal bile duct carcinomas are the same as those for carcinomas of the pancreatic head and include the following: lymph nodes along the common bile duct, and hepatic artery; posterior and anterior pancreaticoduodenal nodes; and nodes along the right lateral wall of the superior mesenteric artery.

Tumor involvement of other nodal groups is considered distant metastasis. Anatomic division of regional lymph nodes is not necessary, but separately submitted lymph nodes should be reported as submitted. A minimum number of lymph nodes examined for accurate staging has not been determined, but examination of at least 12 lymph nodes is suggested.\(^3,6\)

Routine assessment of regional lymph nodes is limited to conventional pathologic techniques (gross assessment and histologic examination), and data are currently insufficient to recommend special measures to detect micrometastasis or isolated tumor cells. Thus, neither multiple levels of paraffin blocks nor the use of special/ancillary techniques, such as immunohistochemistry, are recommended for routine examination of regional lymph nodes.

**References**

H. Additional Findings
Chronic inflammatory conditions affecting the bile ducts are associated with higher risk for biliary tract carcinomas. The most common risk factor for cholangiocarcinoma of the extrahepatic bile ducts in Western countries is primary sclerosing cholangitis (PSC), characterized by multifocal strictures and inflammation of the extrahepatic and intrahepatic biliary tree. Patients with PSC are at risk for multifocal biliary carcinomas. In Japan and Southeast Asia, hepatolithiasis due to recurrent pyogenic cholangitis with biliary stones is a more common risk factor for biliary malignancy. Biliary parasites such as *Clonorchis sinensis* and *Opisthorchis viverrini*, prevalent in parts of Asia, are also associated with carcinomas of the extrahepatic bile ducts.