**Protocol for the Examination of Specimens from Patients with Well-Differentiated Neuroendocrine Tumors (Carcinoid Tumors) of the Jejunum and Ileum**

**Version:** 1.1.0.0

**Protocol Posting Date:** June 2021

**CAP Laboratory Accreditation Program Protocol Required Use Date:** March 2022

The changes included in this current protocol version affect accreditation requirements. The new deadline for implementing this protocol version is reflected in the above accreditation date.

**For accreditation purposes, this protocol should be used for the following procedures AND tumor types:**

|  |  |
| --- | --- |
| **Procedure** | **Description** |
| Resection | Includes specimens designated segmental resection – small intestine and ileocolectomy |
| **Tumor Type** | **Description** |
| Well-differentiated tumor of the jejunum and ileum |   |

**This protocol is NOT required for accreditation purposes for the following:**

|  |
| --- |
| **Procedure** |
| Biopsy |
| Primary resection specimen with no residual cancer (eg, following neoadjuvant therapy) |
| Recurrent tumor |
| Cytologic specimens |

**The following tumor types should NOT be reported using this protocol:**

|  |
| --- |
| **Tumor Type** |
| Well-differentiated tumor of the duodenum and ampulla (consider the Duodenum and Ampulla Carcinoma protocol) |
| Poorly differentiated neuroendocrine carcinoma including small cell and large cell neuroendocrine carcinoma (consider the Small Intestine protocol) |
| Other epithelial carcinomas including mixed neuroendocrine-non-neuroendocrine neoplasms (consider the Small Intestine protocol |
| Lymphoma (consider the Hodgkin or non-Hodgkin Lymphoma protocols) |
| Gastrointestinal stromal tumor (GIST) (consider the GIST protocol) |
| Non-GIST sarcoma (consider the Soft Tissue protocol) |

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With guidance from the CAP Cancer and CAP Pathology Electronic Reporting Committees.
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**Accreditation Requirements**

**This protocol can** be utilized for a variety of procedures and tumor types for clinical care purposes. For accreditation purposes, only the definitive primary cancer resection specimen is required to have the core and conditional data elements reported in a synoptic format.

* Core data elements are required in reports to adequately describe appropriate malignancies. For accreditation purposes, essential data elements must be reported in all instances, even if the response is “not applicable” or “cannot be determined.”
* Conditional data elements are only required to be reported if applicable as delineated in the protocol. For instance, the total number of lymph nodes examined must be reported, but only if nodes are present in the specimen.
* Optional data elements are identified with “+” and although not required for CAP accreditation purposes, may be considered for reporting as determined by local practice standards.

The use of this protocol is not required for recurrent tumors or for metastatic tumors that are resected at a different time than the primary tumor. Use of this protocol is also not required for pathology reviews performed at a second institution (ie, secondary consultation, second opinion, or review of outside case at second institution).

**Synoptic Reporting**

All core and conditionally required data elements outlined on the surgical case summary from this cancer protocol must be displayed in synoptic report format. Synoptic format is defined as:

* Data element: followed by its answer (response), outline format without the paired Data element: Response format is NOT considered synoptic.
* The data element should be represented in the report as it is listed in the case summary. The response for any data element may be modified from those listed in the case summary, including “Cannot be determined” if appropriate.
* Each diagnostic parameter pair (Data element: Response) is listed on a separate line or in a tabular format to achieve visual separation. The following exceptions are allowed to be listed on one line:
	+ Anatomic site or specimen, laterality, and procedure
	+ Pathologic Stage Classification (pTNM) elements
	+ Negative margins, as long as all negative margins are specifically enumerated where applicable
* The synoptic portion of the report can appear in the diagnosis section of the pathology report, at the end of the report or in a separate section, but all Data element: Responses must be listed together in one location

Organizations and pathologists may choose to list the required elements in any order, use additional methods in order to enhance or achieve visual separation, or add optional items within the synoptic report. The report may have required elements in a summary format elsewhere in the report IN ADDITION TO but not as replacement for the synoptic report ie, all required elements must be in the synoptic portion of the report in the format defined above.

**Summary of Changes**

**v 1.1.0.0**

* General Reformatting
* Revised Margins Section
* Revised Lymph Nodes Section
* Added Distant Metastasis Section
* Removed pTX and pNX Staging Classification

**Reporting Template**

**Protocol Posting Date: June 2021**

**Select a single response unless otherwise indicated.**

**CASE SUMMARY: (JEJUNUM AND ILEUM NEUROENDOCRINE TUMOR)**

**Standard(s)**: AJCC-UICC 8

**SPECIMEN**

**Procedure**

\_\_\_ Segmental resection, small intestine

\_\_\_ Ileocolic resection

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Not specified

**TUMOR**

**Tumor Site (Notes** [**A**](#1702)**,**[**B**](#1703)**)**

\_\_\_ Jejunum: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Ileum: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Small intestine, not otherwise specified: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Histologic Type and Grade# (Notes** [**C**](#1705)**,**[**D**](#1706)**)**

*# For poorly differentiated (high-grade) neuroendocrine carcinomas arising in the small intestine or ampulla, the checklists for carcinomas of those organ sites should be used.*

\_\_\_ G1, well-differentiated neuroendocrine tumor

\_\_\_ G2, well-differentiated neuroendocrine tumor

\_\_\_ G3, well-differentiated neuroendocrine tumor

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ GX, well-differentiated neuroendocrine tumor, grade cannot be assessed: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Not applicable

**+Histologic Type and Grade Comment: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Histologic Grade Determination (select all that apply)**

*Mitotic rate and / or Ki67 labeling index is required to determine histologic grade*

\_\_\_ Mitotic rate (Note [D](#1706))

**Mitotic Rate#**

*# Mitotic rate should be reported as number of mitoses per 2 mm2, by evaluating at least 10 mm2 in the most mitotically active part of the tumor (e.g., if using a microscope with a field diameter of 0.55 mm, count 42 high power fields (10 mm2) and divide the resulting number of mitoses by 5 to determine the number of mitoses per 2 mm2 needed to assign tumor grade).*

\_\_\_ Specify number of mitoses per 2 mm2: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ mitoses per 2 mm2

\_\_\_ Less than 2 mitoses per 2 mm2

\_\_\_ 2 to 20 mitoses per 2 mm2

\_\_\_ Greater than 20 mitoses per 2 mm2

\_\_\_ Cannot be determined (explain): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Not applicable

*AND / OR*

\_\_\_ Ki-67 labeling index

**Ki-67 Labeling Index**

\_\_\_ Specify Ki-67 percentage: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ %

\_\_\_ Less than 3%

\_\_\_ 3% to 20%

\_\_\_ Greater than 20%

\_\_\_ Cannot be determined (explain): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Not applicable

**Tumor Size (Note** [**E**](#1704)**)**

*Specify size of largest tumor if multiple tumors are present*

\_\_\_ Greatest dimension in Centimeters (cm): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ cm

**+Additional Dimension in Centimeters (cm): \_\_\_\_ x \_\_\_\_ cm**

\_\_\_ Cannot be determined (explain): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Tumor Focality**

\_\_\_ Unifocal

\_\_\_ Multifocal

**Number of Tumors**

\_\_\_ Specify number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Cannot be determined: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Cannot be determined: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Tumor Extent**

\_\_\_ Invades lamina propria

\_\_\_ Invades submucosa

\_\_\_ Invades muscularis propria

\_\_\_ Invades through muscularis propria into subserosal tissue without penetration of overlying serosa

\_\_\_ Invades visceral peritoneum (serosa)

\_\_\_ Invades other organ(s) or adjacent structure(s) (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Cannot be determined: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ No evidence of primary tumor

**Lymphovascular Invasion**

\_\_\_ Not identified

\_\_\_ Present

\_\_\_ Cannot be determined: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**+Perineural Invasion**

\_\_\_ Not identified

\_\_\_ Present

\_\_\_ Cannot be determined: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Large Mesenteric Masses (greater than 2 cm) (Note** [**G**](#1708)**)**

\_\_\_ Not identified

\_\_\_ Present

**+Number of Large Mesenteric Masses**

\_\_\_ Specify number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Cannot be determined: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Cannot be determined: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**+Tumor Comment: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**MARGINS (Note** [**F**](#1707)**)**

**Margin Status**

\_\_\_ All margins negative for tumor

**+Closest Margin(s) to Tumor (select all that apply)**

\_\_\_ Proximal: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Distal: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Radial or mesenteric: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Cannot be determined: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**+Distance from Tumor to Closest Margin**

*Specify in Centimeters (cm)*

\_\_\_ Exact distance in cm: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ cm

\_\_\_ Greater than 1 cm

*Specify in Millimeters (mm)*

\_\_\_ Exact distance in mm: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ mm

\_\_\_ Greater than 10 mm

*Other*

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Cannot be determined: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Tumor present at margin

**Margin(s) Involved by Tumor (select all that apply)**

\_\_\_ Proximal: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Distal: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Radial or mesenteric: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Cannot be determined: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Cannot be determined (explain): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Not applicable

**+Margin Comment: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**REGIONAL LYMPH NODES**

**Regional Lymph Node Status**

\_\_\_ Not applicable (no regional lymph nodes submitted or found)

\_\_\_ Regional lymph nodes present

\_\_\_ All regional lymph nodes negative for tumor

\_\_\_ Tumor present in regional lymph node(s)

**Number of Lymph Nodes with Tumor**

\_\_\_ Exact number (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ At least (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Cannot be determined (explain): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Cannot be determined (explain): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Number of Lymph Nodes Examined**

\_\_\_ Exact number (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ At least (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Number cannot be determined (explain): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**+Regional Lymph Node Comment: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**DISTANT METASTASIS**

**Distant Site(s) Involved, if applicable (select all that apply)**

\_\_\_ Not applicable

\_\_\_ Liver: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Lung: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Ovary: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Nonregional lymph node(s): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Peritoneum: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Bone: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ Cannot be determined: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**PATHOLOGIC STAGE CLASSIFICATION (pTNM, AJCC 8th Edition) (Note** [**G**](#1708)**)**

*Reporting of pT, pN, and (when applicable) pM categories is based on information available to the pathologist at the time the report is issued. As per the AJCC (Chapter 1, 8th Ed.) it is the managing physician’s responsibility to establish the final pathologic stage based upon all pertinent information, including but potentially not limited to this pathology report.*

**TNM Descriptors (select all that apply)**

\_\_\_ Not applicable

\_\_\_ m (multiple primary tumors)

\_\_\_ r (recurrent)

\_\_\_ y (post-treatment)

**pT Category**

*For any T, add (m) for multiple tumors [TX(#) or TX(m), where X = 1-4, and # = number of primary tumors identified]; for multiple tumors with different T, use the highest. Example: If there are 2 primary tumors, only 1 of which invades through the muscularis propria into subserosal tissue without penetration of overlying serosa (jejunal or ileal), we define the primary tumor as either T3(2) or T3(m).*

\_\_\_ pT not assigned (cannot be determined based on available pathological information)

\_\_\_ pT0: No evidence of primary tumor

\_\_\_ pT1: Tumor invades the lamina propria or submucosa and less than or equal to 1 cm in size

\_\_\_ pT2: Tumor invades the muscularis propria or greater than 1 cm in size

\_\_\_ pT3: Tumor invades through the muscularis propria into subserosal tissue without penetration of overlying serosa

\_\_\_ pT4: Tumor invades the visceral peritoneum (serosa) or other organs

**pN Category**

\_\_\_ pN not assigned (no nodes submitted or found)

\_\_\_ pN not assigned (cannot be determined based on available pathological information)

\_\_\_ pN0: No regional lymph node metastasis has occurred

\_\_\_ pN1: Regional lymph node metastasis less than 12 nodes

\_\_\_ pN2: Large mesenteric masses (greater than 2 cm) and / or extensive nodal deposits (12 or greater), especially those that encase the superior mesenteric vessels

**pM Category (required only if confirmed pathologically)**

\_\_\_ Not applicable - pM cannot be determined from the submitted specimen(s)

*pM1: Distant metastasis*

\_\_\_ pM1a: Metastasis confined to liver

\_\_\_ pM1b: Metastasis in at least one extrahepatic site (e.g., lung, ovary, nonregional lymph node, peritoneum, bone)

\_\_\_ pM1c: Both hepatic and extrahepatic metastases

\_\_\_ pM1 (subcategory cannot be determined)

**ADDITIONAL FINDINGS (Note** [**H**](#1709)**)**

**+Additional Findings (select all that apply)**

\_\_\_ None identified

\_\_\_ Tumor necrosis

\_\_\_ Mesenteric tumor deposit(s) less than or equal to 2 cm

\_\_\_ Mesenteric vascular elastosis

\_\_\_ Other (specify): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**COMMENTS**

**Comment(s): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Explanatory Notes**

**A. Application and Tumor Location**

This protocol applies to well-differentiated neuroendocrine tumors (carcinoid tumors) of the jejunum and ileum. Poorly differentiated neuroendocrine carcinomas (small cell carcinomas and large cell neuroendocrine carcinomas) and tumors with mixed glandular/neuroendocrine differentiation are not included.[1](#6371) Neuroendocrine tumors of the duodenum and ampulla of Vater use a separate CAP cancer protocol[2](#6372).

Because of site-specific similarities in histology, immunohistochemistry, and histochemistry, neuroendocrine tumors of the digestive tract have traditionally been subdivided into those of foregut, midgut, and hindgut origin (Table 1). In general, the distribution pattern along the gastrointestinal (GI) tract parallels that of the progenitor cell type, and the anatomic site of origin of GI neuroendocrine tumors is an important predictor of clinical behavior.[3](#6373)

**Table 1. Site of Origin of Gastrointestinal Neuroendocrine Tumors**

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Foregut Tumors** | **Midgut Tumors** | **Hindgut Tumors** |
| Site | Stomach, Proximal Duodenum | Jejunum, Ileum, Appendix, Proximal Colon | Distal Colon, Rectum |
| ImmunohistochemistryChromogranin ASynaptophysinSerotonin |  86%-100% +50% +33% + [4](#6374) |  82%-92% +95%-100% +86% +[4](#6374)  |  40%-58% +94%-100% +45%-83% + [4,](#6374)[5,](#6375)[6,](#6376)[7,](#6377)[8](#6378) |
| Other Immunohistochemical Markers | Rarely, + for pancreatic polypeptide, histamine, gastrin, vasoactive intestinal peptide (VIP), or adrenocorticotropic hormone (ACTH) | Prostatic acid phosphatase + in 20%-40%[9,](#6379)[10](#6380)  | Prostatic acid phosphatase + in 20%-82%[4,](#6374)[5,](#6375)[6,](#6376)[7,](#6377)[8,](#6378)[9](#6379) [10](#6380) |
| Carcinoid Syndrome | Rare | 5%-39%[11,](#6381)[12](#6382)  | Rare |

References

1. Shi C, Belin J, Branton P, et al. Protocol for the Examination of Specimens From Patients With Carcinoma of the Small Intestine. 2017. Available at [www.cap.org/cancerportocols](http://www.cap.org/cancerportocols)
2. Shi C, Adsay V, Bergsland EK, et al. Protocol for the Examination of Specimens From Patients With Neuroendocrine Tumors (Carcinoid Tumors) of the Duodenum and Ampulla. 2017. Available at [www.cap.org/cancerportocols](http://www.cap.org/cancerportocols).
3. Rorstad O. Prognostic indicators for carcinoid neuroendocrine tumors of the gastrointestinal tract. J Surg Oncol. 2005;89(3):151-160.
4. Eckhauser FE, Argenta LC, Strodel WE, et al. Mesenteric angiopathy, intestinal gangrene, and midgut carcinoids. Surgery. 1981;90(4):720-728.
5. Modlin IM, Lye KD, Kidd M. A 5-decade analysis of 13,715 carcinoid tumors. Cancer. 2003;97(4):934-959.
6. Graeme-Cook F. Neuroendocrine tumors of the GI tract and appendix. In: Odze RD, Goldblum JR, Crawford JM, eds. Surgical Pathology of the GI Tract, Liver, Biliary Tract, and Pancreas. Philadelphia, PA: Saunders; 2004: 483-504.
7. Anlauf M, Garbrecht N, Henopp T, et al. Sporadic versus hereditary gastrinomas of the duodenum and pancreas: distinct clinico-p.
8. Eckhauser FE, Argenta LC, Strodel WE, et al. Mesenteric angiopathy, intestinal gangrene, and midgut carcinoids. Surgery. 1981;90(4):720-728.
9. Kimura N, Sasano N. Prostate-specific acid phosphatase in carcinoid tumors. Virchows Arch A Pathol Anat Histopathol. 1986;410(3):247-251.
10. Nash SV, Said JW. Gastroenteropancreatic neuroendocrine tumors: a histochemical and immunohistochemical study of epithelial (keratin proteins, carcinoembryonic antigen) and neuroendocrine (neuron-specific enolase, bombesin and chromogranin) markers in foregut, midgut, and hindgut tumors. Am J Clin Pathol. 1986;86(2):415-422.
11. Williams GT. Endocrine tumours of the gastrointestinal tract: selected topics. Histopathology. 2007;50(1):30-41.
12. Garbrecht N, Anlauf M, Schmitt A, et al. Somatostatin-producing neuroendocrine tumors of the duodenum and pancreas: incidence, types, biological behavior, association with inherited syndromes, and functional activity. Endocr Rel Cancer. 2008;15(1):229-241.

**B. Site-Specific Features**

The small intestine is the most common primary site for neuroendocrine tumors.[1,](#6383)[2,](#6384)[3](#6385) Most small intestine neuroendocrine tumors occur in the distal ileum. Multiple tumors are found in 25% to 40% of cases and may be associated with a worse outcome.[4](#6386)Primary jejunal and ileal tumors are often small and asymptomatic. However, extensive fibrosis can form when they invade deep soft tissue (eg, mesenteric soft tissue), causing small bowel obstruction and small bowel ischemia due to encasement of the superior mesenteric vessels. In addition, about 50% of patients with jejunoileal neuroendocrine tumor have liver metastasis as the initial presentation, and patients with liver metastasis can have carcinoid syndrome (eg, flushing, diarrhea, and wheezing). Metastatic risk is increased by tumor size >2 cm, involvement of the muscularis propria, and mitotic activity.[5](#6387)

References

1. Modlin IM, Lye KD, Kidd M. A 5-decade analysis of 13,715 carcinoid tumors. Cancer. 2003;97(4):934-959.
2. Graeme-Cook F. Neuroendocrine tumors of the GI tract and appendix. In: Odze RD, Goldblum JR, Crawford JM, eds. Surgical Pathology of the GI Tract, Liver, Biliary Tract, and Pancreas. Philadelphia, PA: Saunders; 2004: 483-504.
3. Williams GT. Endocrine tumours of the gastrointestinal tract: selected topics. Histopathology. 2007;50(1):30-41.
4. Yantiss RK, Odze RD, Farraye FA, Rosenberg AE. Solitary versus multiple carcinoid tumors of the ileum: a clinical and pathologic review of 69 cases. Am J Surg Pathol. 2003;27(6):811-817.
5. Rorstad O. Prognostic indicators for carcinoid neuroendocrine tumors of the gastrointestinal tract. J Surg Oncol. 2005;89(3):151-160.

**C. Histologic Type**

The World Health Organization (WHO) classifies neuroendocrine neoplasms as well-differentiated neuroendocrine tumors (either the primary tumor or metastasis) and poorly differentiated neuroendocrine carcinomas.[1](#6389) Historically, well-differentiated neuroendocrine tumors have been referred to as “carcinoid tumors,” a term which may cause confusion because clinically a carcinoid tumor is a serotonin-producing tumor associated with functional manifestations of carcinoid syndrome. The use of the term “carcinoid” for neuroendocrine tumor reporting is therefore discouraged for these reasons.

Classification of neuroendocrine tumors is based upon size, functionality, site, and invasion.  Functioning tumors are those associated with clinical manifestations of hormone production or secretion of measurable amounts of active hormone; immunohistochemical demonstration of hormone production is not equivalent to clinically apparent functionality.

Immunohistochemistry and other ancillary techniques are generally not required to diagnose well-differentiated neuroendocrine tumors.  Specific markers that may be used to establish neuroendocrine differentiation include chromogranin A, synaptophysin, and CD56.[2](#6390) Because of their relative sensitivity and specificity, chromogranin A and synaptophysin are recommended.

References

1. WHO Classification of Tumours Editorial Board. Digestive system tumours. Lyon (France): International Agency for Research on Cancer; 2019. (WHO classification of tumours series, 5th ed.; vol. 1).
2. Williams GT. Endocrine tumours of the gastrointestinal tract: selected topics. Histopathology. 2007;50(1):30-41.

**D. Histologic Grade**

Cytologic atypia in well-differentiated neuroendocrine tumors has no impact on clinical behavior of these tumors.

The WHO classification[1](#6391) and others[2](#6392) use mitotic rate and/or Ki-67 index as one of the criteria for potential for aggressive behavior.  Mitotic rate should be reported as number of mitoses per 2 mm2, by evaluating at least 10 mm2 in the most mitotically active part of the tumor. Only clearly identifiable mitotic figures should be counted; hyperchromatic, karyorrhectic, or apoptotic nuclei are excluded. Because of variations in field size, the number of high-power field (HPF) (at 40X magnification) for 10 mm2 (thereby 2 mm2) must be determined for each microscope (Table 2). For example, if using a microscope with a field diameter of 0.55 mm, count 42 HPF and divide the resulting number of mitoses by 5 to determine the number of mitoses per 2 mm2 needed to assign tumor grade.

**Table 2. Number of HPF Required for 10 mm2 Using Microscopes With Different Field Diameter**

| **Field Diameter (mm)** | **Area (mm2)** | **Number of HPF for 10 mm2** |
| --- | --- | --- |
| 0.40 | 0.125 | 80 |
| 0.41 | 0.132 | 75 |
| 0.42 | 0.139 | 70 |
| 0.43 | 0.145 | 69 |
| 0.44 | 0.152 | 65 |
| 0.45 | 0.159 | 63 |
| 0.46 | 0.166 | 60 |
| 0.47 | 0.173 | 58 |
| 0.48 | 0.181 | 55 |
| 0.49 | 0.189 | 53 |
| 0.50 | 0.196 | 50 |
| 0.51 | 0.204 | 49 |
| 0.52 | 0.212 | 47 |
| 0.53 | 0.221 | 45 |
| 0.54 | 0.229 | 44 |
| 0.55 | 0.238 | 42 |
| 0.56 | 0.246 | 41 |
| 0.57 | 0.255 | 39 |
| 0.58 | 0.264 | 38 |
| 0.59 | 0.273 | 37 |
| 0.60 | 0.283 | 35 |
| 0.61 | 0.292 | 34 |
| 0.62 | 0.302 | 33 |
| 0.63 | 0.312 | 32 |
| 0.64 | 0.322 | 31 |
| 0.65 | 0.332 | 30 |
| 0.66 | 0.342 | 29 |
| 0.67 | 0.353 | 28 |
| 0.68 | 0.363 | 28 |
| 0.69 | 0.374 | 28 |

Ki-67 index is reported as percent positive tumor cells in area of highest nuclear labeling (“hot spot”), although the precise method of assessment has not been standardized.A number of methods have used to assess Ki-67 index, including automatic counting and “eyeballing.”[3,](#6393)[4](#6395) Automated counting is not widely available and requires careful modification of the software to circumvent the inaccuracies.[3](#6393) Eye-balling can be used for most tumors; however, for tumors with Ki-67 index close to grade cut-offs, it is recommended to perform the manual count on the print of camera-captured image of the hot spot. It has been recommended that a minimum of 500 tumor cells be counted to determine the Ki-67 index, and a notation is made if less cells are available.Grade assigned based on Ki-67 index is typically higher than that based on mitotic count, and the case is assigned to the higher of the 2 if both methods are performed.[1](#6391)

It is important to note that there are a small group of well-differentiated neuroendocrine tumors with a Ki-67 index >20% and a mitotic rate usually <20 per 10 HPF. In WHO-2010, these tumors were considered as G3 poorly differentiated neuroendocrine carcinomas. However, they have typical morphology of well-differentiated tumors.

Previous studies (most on pancreatic neuroendocrine tumors) have demonstrated that these tumors have a worse prognosis than grade 2 (Ki-67=3-20% and mitosis <20/10 HPF) neuroendocrine tumors, but they are not as aggressive as poorly differentiated neuroendocrine carcinomas.[5](#6396) In addition, these tumors do not have the genetic abnormalities seen in poorly differentiated neuroendocrine carcinomas.[6](#6397) Furthermore, unlike poorly differentiated neuroendocrine carcinomas, they are less responsive to platinum-based chemotherapy.[7](#6398) In the WHO-2019 blue book of digestive system tumor and AJCC 8th edition, those with typical morphology of well-differentiated tumors are classified as “well differentiated neuroendocrine tumor” but as grade 3 (Table 3).[1,](#6391)[2,](#6392)[3,](#6393)[4,](#6395)[5,](#6396)[6,](#6397)[7,](#6398)[8](#6399)

**Table 3**. **Recommended Grading System for Well-Differentiated Gastroenteropancreatic Neuroendocrine Tumors**

|  |  |  |
| --- | --- | --- |
| **Grade** | **Mitotic Rate (per 2mm2)** | **Ki-67 Index (%)** |
| Well-differentiated neuroendocrine tumor, G1 | <2 | <3 |
| Well-differentiated neuroendocrine tumor, G2 | 2 to 20 | 3 to 20 |
| Well-differentiated neuroendocrine tumor, G3 | >20 | >20 |

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**E. Tumor Size**

For neuroendocrine tumors in any part of the gastrointestinal tract, size greater than 2.0 cm is associated with a higher risk of lymph node metastasis. For jejunoileal tumors, nodal metastases occur in about 12% of patients with tumors smaller than 1.0 cm and in most patients with tumors larger than 1.0 cm.[1](#6388) Thus, treatment for small intestine neuroendocrine tumor includes complete resection with regional lymphadenectomy.

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**F. Circumferential (Radial or Mesenteric) Margin**

In addition to addressing the proximal and distal margins, assessment of the circumferential (radial) margin is necessary for any segment of gastrointestinal tract either unencased (Figure, C) or incompletely encased by peritoneum (Figure, B). The circumferential margin represents the adventitial soft tissue margin closest to the deepest penetration of tumor and is created surgically by blunt or sharp dissection of the retroperitoneal or subperitoneal aspect, respectively. The distance between the tumor and circumferential (radial) margin should be reported, if applicable. The circumferential (radial) margin is considered positive if the tumor is present at the inked nonperitonealized surface. This assessment includes tumor within a lymph node as well as direct tumor extension, but if circumferential (radial) margin positivity is based solely on intranodal tumor, this should be so stated.

The mesenteric resection margin is the only relevant circumferential margin in segments completely encased by peritoneum (eg, jejunum and ileum) (Figure, A). Involvement of this margin should be reported even if tumor does not penetrate the serosal surface.



A, Mesenteric margin in viscus completely encased by peritoneum (dotted line). B, Circumferential (radial) margin (dotted line) in viscus incompletely encased by peritoneum. C, Circumferential (radial) margin (dotted line) in viscus completely unencased by peritoneum.

**G. Pathologic Stage Classification**

The TNM staging system for neuroendocrine tumors of the jejunum and ileum of the American Joint Committee on Cancer (AJCC) and the International Union Against Cancer (UICC) is recommended.[1](#6400)

By AJCC/UICC convention, the designation “T” refers to a primary tumor that has not been previously treated. The symbol “p” refers to the pathologic classification of the TNM, as opposed to the clinical classification, and is based on gross and microscopic examination. pT entails a resection of the primary tumor or biopsy adequate to evaluate the highest pT category, pN entails removal of nodes adequate to validate lymph node metastasis, and pM implies microscopic examination of distant lesions. Clinical classification (cTNM) is usually carried out by the referring physician before treatment during initial evaluation of the patient or when pathologic classification is not possible.

Pathologic staging is usually performed after surgical resection of the primary tumor. Pathologic staging depends on pathologic documentation of the anatomic extent of disease, whether or not the primary tumor has been completely removed. If a biopsied tumor is not resected for any reason (eg, when technically unfeasible) and if the highest T and N categories or the M1 category of the tumor can be confirmed microscopically, the criteria for pathologic classification and staging have been satisfied without total removal of the primary cancer.

TNM Descriptors

For identification of special cases of TNM or pTNM classifications, the “m” suffix and “y,” “r,” and “a” prefixes are used. Although they do not affect the stage grouping, they indicate cases needing separate analysis.

The “m” suffix indicates the presence of multiple primary tumors in a single site and is recorded in parentheses: pT(m)NM.

The “y” prefix indicates those cases in which classification is performed during or following initial multimodality therapy (ie, neoadjuvant chemotherapy, radiation therapy, or both chemotherapy and radiation therapy). The cTNM or pTNM category is identified by a “y” prefix. The ycTNM or ypTNM categorizes the extent of tumor actually present at the time of that examination. The “y” categorization is not an estimate of tumor prior to multimodality therapy (ie, before initiation of neoadjuvant therapy).

The “r” prefix indicates a recurrent tumor when staged after a documented disease-free interval, and is identified by the “r” prefix: rTNM.

The “a” prefix designates the stage determined at autopsy: aTNM.

N Category Considerations

For ileal and jejunal tumors, the regional lymph nodes are the cecal (for tumors arising in the terminal ileum), superior mesenteric, and mesenteric nodes. Metastases to celiac nodes are considered distant metastases.

Mesenteric masses are defined as discrete but irregular mesenteric tumor nodules frequently located adjacent to neurovascular bundles and discontinuous from the primary neoplasm.[2](#6401)  Mesenteric masses are often associated with dense fibrosis, causing ecasement of large mesenteric vessels. The presence of mesenteric masses has also been associated with frequent liver metastasis and a poor prognosis.[2,](#6401)[3](#6402)

M Category Considerations

The liver is the most common metastatic site. Metastases to extrahepatic sites, such as lung, ovary, peritoneum, and bone, are rare. Involvement of the celiac, para-aortic, and other nonregional lymph nodes is also considered M1 disease. In the AJCC 8 edition, M is subcategorized into M1a (hepatic only), M1b (extrahepatic only), and M1c (both hepatic and extrahepatic).

References

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**H. Additional Findings**

Mesenteric vascular changes (elastic vascular sclerosis) associated with midgut carcinoids may produce arterial luminal narrowing due to concentric accumulation of elastic tissue in the adventitia. These vascular changes may lead to intestinal ischemia and frank necrosis.[1](#6403)

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