Protocol for the Examination of Resection Specimens From Patients With Primary Non-Small Cell Carcinoma, Small Cell Carcinoma, or Carcinoid Tumor of the Lung

Version: Lung 4.1.0.1 Protocol Posting Date: February 2020

CAP Laboratory Accreditation Program Protocol Required Use Date: November 2020

Includes pTNM requirements from the 8th Edition, AJCC Staging Manual

For accreditation purposes, this protocol should be used for the following procedures AND tumor types:

<table>
<thead>
<tr>
<th>Procedure</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Resection</td>
<td>Includes pneumonectomy, lobectomy, segmentectomy, and wedge resection</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Tumor Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Carcinoma</td>
<td>Includes non-small cell carcinoma, small cell carcinoma, or carcinoid tumor of the lung</td>
</tr>
</tbody>
</table>

This protocol is NOT required for accreditation purposes for the following:

<table>
<thead>
<tr>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biopsy</td>
</tr>
<tr>
<td>Primary resection specimen with no residual cancer (e.g. following neoadjuvant therapy)</td>
</tr>
<tr>
<td>Cytologic specimens</td>
</tr>
</tbody>
</table>

The following tumor types should NOT be reported using this protocol:

<table>
<thead>
<tr>
<th>Tumor Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mesothelioma (consider the Pleural Mesothelioma protocol)</td>
</tr>
<tr>
<td>Lymphoma (consider the Hodgkin or non-Hodgkin Lymphoma protocol)</td>
</tr>
<tr>
<td>Sarcoma (consider the Soft Tissue protocol)</td>
</tr>
</tbody>
</table>

Authors

Frank Schneider, MD*, Kelly J. Butnor, MD*; Mary Beth Beasley, MD*; Michael Berman, Sanja Dacic, MD, PhD; MD; Douglas Flieder, MD; Kirk Jones, MD; Nader T. Okby, MD; Victor L. Roggli, MD; Saul Suster, MD; Henry D. Tazelaar, MD; William D. Travis, MD

With guidance from the CAP Cancer and CAP Pathology Electronic Reporting Committees.

* Denotes primary authors. All other contributing authors are listed alphabetically.
Accreditation Requirements
This protocol can be utilized for a variety of procedures and tumor types for clinical care purposes. For accreditation purposes, only the definitive primary cancer resection specimen is required to have the core and conditional data elements reported in a synoptic format.

- **Core data elements** are required in reports to adequately describe appropriate malignancies. For accreditation purposes, essential data elements must be reported in all instances, even if the response is “not applicable” or “cannot be determined.”
- **Conditional data elements** are only required to be reported if applicable as delineated in the protocol. For instance, the total number of lymph nodes examined must be reported, but only if nodes are present in the specimen.
- **Optional data elements** are identified with “+” and although not required for CAP accreditation purposes, may be considered for reporting as determined by local practice standards.

The use of this protocol is not required for recurrent tumors or for metastatic tumors that are resected at a different time than the primary tumor. Use of this protocol is also not required for pathology reviews performed at a second institution (ie, secondary consultation, second opinion, or review of outside case at second institution).

Synoptic Reporting
All core and conditionally required data elements outlined on the surgical case summary from this cancer protocol must be displayed in synoptic report format. Synoptic format is defined as:

- Data element: followed by its answer (response), outline format without the paired “Data element: Response” format is NOT considered synoptic.
- The data element should be represented in the report as it is listed in the case summary. The response for any data element may be modified from those listed in the case summary, including “Cannot be determined” if appropriate.
- Each diagnostic parameter pair (Data element: Response) is listed on a separate line or in a tabular format to achieve visual separation. The following exceptions are allowed to be listed on one line:
  - Anatomic site or specimen, laterality, and procedure
  - Pathologic Stage Classification (pTNM) elements
  - Negative margins, as long as all negative margins are specifically enumerated where applicable
- The synoptic portion of the report can appear in the diagnosis section of the pathology report, at the end of the report or in a separate section, but all Data element: Responses must be listed together in one location

Organizations and pathologists may choose to list the required elements in any order, use additional methods in order to enhance or achieve visual separation, or add optional items within the synoptic report. The report may have required elements in a summary format elsewhere in the report IN ADDITION TO but not as replacement for the synoptic report ie, all required elements must be in the synoptic portion of the report in the format defined above.

Summary of Changes
Version 4.1.0.1
Revised Background Notes
Surgical Pathology Cancer Case Summary

Protocol posting date: February 2020

LUNG: Resection

Select a single response unless otherwise indicated.

Synchronous Tumors (required if morphologically distinct unrelated multiple primary tumors are present)
___ Present*
   Specify total number of primary tumors identified: ___
   Specimen ID(s): ________________________
___ Cannot be determined

* Morphologically distinct tumors that are considered to represent separate primary lung cancers should have separate synoptic reports

Procedure (select all that apply)
___ Wedge resection
___ Segmentectomy
___ Lobectomy
___ Completion lobectomy
___ Sleeve lobectomy
___ Bilobectomy
___ Pneumonectomy
___ Major airway resection (specify): ____________________________
___ Other (specify): ____________________________
___ Not specified

Specimen Laterality
___ Right
___ Left
___ Not specified

Tumor Site (select all that apply)
___ Upper lobe of lung
___ Middle lobe of lung
___ Lower lobe of lung
___ Bronchus, main
___ Bronchus intermedius
___ Bronchus, lobar (specify): ____________________________
___ Other (specify): ____________________________
___ Not specified

Tumor Size (Note A)
Total tumor size (size of entire tumor)#
   Greatest dimension (centimeters): ___ cm
   + Additional dimensions (centimeters): ___ x ___ cm
   ___ Cannot be determined

Size of invasive component## (required only if invasive nonmucinous adenocarcinomas with lepidic component is present)
   Greatest dimension (centimeters): ___ cm
   + Additional dimensions (centimeters): ___ x ___ cm
   + ___% of total tumor size (above)
   ___ Cannot be determined

+ Data elements preceded by this symbol are not required for accreditation purposes. These optional elements may be clinically important but are not yet validated or regularly used in patient management.
Note: The size of the entire tumor (total tumor size) applies to all tumors and includes the invasive component and the nonmucinous lepidic component of adenocarcinomas.

Note: The size of the invasive component applies only to invasive nonmucinous adenocarcinomas with lepidic component. For all tumor types other than invasive nonmucinous adenocarcinoma with lepidic component, invasive tumor size equals total tumor size.

Tumor Focality (Note B)
____ Single focus
____ Separate tumor nodules (metastases) in same lobe (pT3)
   + Specify number of intrapulmonary metastases identified: ___
____ Separate tumor nodules (metastases) in different ipsilateral lobe (pT4)
   + Specify number of intrapulmonary metastases identified: ___
____ Separate tumor nodules (metastases) in a contralateral lobe (pM1a)
   + Specify number of distant metastases identified: ___
____ Multifocal ground-glass / lepidic nodules
   + Specify number of tumor nodules identified: ___
____ Pneumonic-type adenocarcinoma
____ Cannot be determined

Histologic Type (Note C)
____ Adenocarcinoma in situ (AIS), nonmucinous
____ Adenocarcinoma in situ (AIS), mucinous
____ Minimally invasive adenocarcinoma, nonmucinous
____ Minimally invasive adenocarcinoma, mucinous
____ Invasive adenocarcinoma, lepidic predominant
   + Other subtypes present (specify subtype(s), may also include percentages): ________________
____ Invasive adenocarcinoma, acinar predominant
   + Other subtypes present (specify subtype(s), may also include percentages): ________________
____ Invasive adenocarcinoma, papillary predominant
   + Other subtypes present (specify subtype(s), may also include percentages): ________________
____ Invasive adenocarcinoma, micropapillary predominant
   + Other subtypes present (specify subtype(s), may also include percentages): ________________
____ Invasive adenocarcinoma, solid predominant
   + Other subtypes present (specify subtype(s), may also include percentages): ________________
____ Invasive adenocarcinoma, predominant subtype cannot be determined (explain): ________________
   + Subtypes present (specify subtype(s), may also include percentages): ________________
____ Invasive mucinous adenocarcinoma
____ Mixed invasive mucinous and nonmucinous adenocarcinoma
____ Colloid adenocarcinoma
____ Fetal adenocarcinoma
____ Enteric adenocarcinoma
____ Squamous cell carcinoma in situ (SCIS)
____ Invasive squamous cell carcinoma, keratinizing
____ Invasive squamous cell carcinoma, non-keratinizing
____ Invasive squamous cell carcinoma, basaloïd
____ Small cell carcinoma
____ Combined small cell carcinoma (small cell carcinoma and non-small cell component)
   (specify type of non-small cell carcinoma component): ______________________________
____ Large cell neuroendocrine carcinoma
____ Combined large cell neuroendocrine carcinoma (LCNEC and other non-small cell component)
   (specify type of other non-small cell carcinoma component): _________________________
____ Typical carcinoid tumor
____ Atypical carcinoid tumor
____ Large cell carcinoma

+ Data elements preceded by this symbol are not required for accreditation purposes. These optional elements may be clinically important but are not yet validated or regularly used in patient management.
___ Adenosquamous carcinoma
___ Pleomorphic carcinoma
___ Spindle cell carcinoma
___ Giant cell carcinoma
___ Carcinosarcoma
___ Pulmonary blastoma
___ Lymphoepithelioma-like carcinoma
___ NUT carcinoma
___ Mucoepidermoid carcinoma
___ Adenoid cystic carcinoma
___ Epithelial-myoepithelial carcinoma
___ Carcinoma, type cannot be determined
___ Non-small cell carcinoma, subtype cannot be determined
___ Other histologic type not listed (specify): ____________________________

+ Histologic Grade (Note D)
+ ___ G1: Well differentiated
+ ___ G2: Moderately differentiated
+ ___ G3: Poorly differentiated
+ ___ G4: Undifferentiated
+ ___ Other (specify): ____________________________
+ ___ GX: Cannot be assessed
+ ___ Not applicable

+ Spread Through Air Spaces (STAS) (Note C)
+ ___ Not identified
+ ___ Present

Visceral Pleura Invasion (Note E)
___ Not identified
___ Present
___ Cannot be determined

Lymphovascular Invasion (select all that apply) (Note F)
___ Not identified
___ Present
   + ___ Lymphatic
   + ___ Arterial
   + ___ Venous
___ Cannot be determined

Direct Invasion of Adjacent Structures (select all that apply) (Note G)
___ No adjacent structures present
___ Adjacent structures present but not involved

+ Data elements preceded by this symbol are not required for accreditation purposes. These optional elements may be clinically important but are not yet validated or regularly used in patient management.
___ Adjacent structures present and involved
   ___ Main bronchus
   ___ Hilar soft tissues
   ___ Carina
   ___ Parietal pleura
   ___ Chest wall
     + Specify involved structure(s): ____________________________
   ___ Phrenic nerve
   ___ Parietal pericardium
   ___ Diaphragm
   ___ Mediastinum
     + Specify involved structure(s): ____________________________
   ___ Heart
   ___ Great vessels
   ___ Trachea
   ___ Recurrent laryngeal nerve
   ___ Esophagus
   ___ Vertebral body
   ___ Other (specify): ____________________________
___ Cannot be assessed (explain): ____________________________

Margins (select all that apply) (Note H)

Use this section only if all margins are uninvolved and all margins can be assessed.
___ All margins are uninvolved by tumor

Margins examined (specify): ___________
Note: Margins may include bronchial, vascular, parenchymal, and others.

Distance of invasive carcinoma from closest margin (centimeters): ___ cm
Specify closest margin: __________________________

Distance of carcinoma in situ from closest margin (if applicable) (centimeters): ___ cm
Specify closest margin (if applicable): __________________________

Individual margin reporting required if any margins are involved or margin involvement cannot be assessed

Bronchial Margin (select all that apply)
___ Not applicable
___ Cannot be assessed
___ Uninvolved by invasive carcinoma
___ Uninvolved by carcinoma in situ
___ Positive for invasive carcinoma
___ Positive for carcinoma in situ

Vascular Margin
___ Not applicable
___ Cannot be assessed
___ Uninvolved by carcinoma
___ Positive for carcinoma

Parenchymal Margin (select all that apply)
___ Not applicable
___ Cannot be assessed

+ Data elements preceded by this symbol are not required for accreditation purposes. These optional elements may be clinically important but are not yet validated or regularly used in patient management.
Uninvolved by invasive carcinoma
Uninvolved by lepidic component of invasive adenocarcinoma
Uninvolved by adenocarcinoma in situ
Positive for invasive carcinoma
Positive for lepidic component of invasive adenocarcinoma
Positive for adenocarcinoma in situ

Other Attached Tissue Margin (required only if applicable)
Specify margin: ____________________________
 Cannot be assessed
 Uninvolved by invasive carcinoma
 Positive for invasive carcinoma

Regional Lymph Nodes

 No lymph nodes submitted or found

Lymph Node Examination (required only if lymph nodes present in the specimen)

Number of Lymph Nodes Involved: _____
 Number cannot be determined (explain): ____________________________
 Specify nodal station(s) involved (applicable only if node(s) involved): __________________

Number of Lymph Nodes Examined: _____
 Number cannot be determined (explain): ____________________________
 Specify nodal station(s) examined: __________________

+ Extranodal Extension (Note J)
 + ___ Not identified
 + ___ Present
 + ___ Cannot be determined

Treatment Effect (Note I)

 No known presurgical therapy
 Greater than 10% residual viable tumor
 Less than or equal to 10% residual viable tumor
 Cannot be determined

Pathologic Stage Classification (pTNM) (AJCC 8th Edition) (Note J)

Note: Reporting of pT, pN, and (when applicable) pM categories is based on information available to the pathologist at the time the report is issued. Only the applicable T, N, or M category is required for reporting; their definitions need not be included in the report. The categories (with modifiers when applicable) can be listed on 1 line or more than 1 line. As per the AJCC (Chapter 1, 8th Ed.) it is the managing physician’s responsibility to establish the final pathologic stage based upon all pertinent information, including but potentially not limited to this pathology report.

TNM Descriptors (required only if applicable) (select all that apply)
 m (multiple primary tumors)
 r (recurrent)
 y (posttreatment)

#Note: The suffix m (or a specific number) should only be used in the setting of multifocal ground-glass / lepidic nodules that histologically present as adenocarcinomas with prominent lepidic component. Multiple primary lung cancers showing different histologic type or different morphology based on comprehensive histologic subtyping are staged as independent tumors without m suffix.
Primary Tumor (pT)

___ pTX: Primary tumor cannot be assessed, or tumor proven by presence of malignant cells in sputum or bronchial washings but not visualized by imaging or bronchoscopy

___ pT0: No evidence of primary tumor

___ pTis (SCIS): Squamous cell carcinoma in situ (SCIS)

___ pTis (AIS): Adenocarcinoma in situ (AIS): adenocarcinoma with pure lepidic pattern, ≤3 cm in greatest dimension

___ pT1: Tumor ≤3 cm in greatest dimension, surrounded by lung or visceral pleura, without bronchoscopic evidence of invasion more proximal than the lobar bronchus (ie, not in the main bronchus)

___ pT1mi: Minimally invasive adenocarcinoma: adenocarcinoma (≤3 cm in greatest dimension) with a predominantly lepidic pattern and ≤5 mm invasion in greatest dimension (See note C)

___ pT1a: Tumor ≤1 cm or less in greatest dimension; A superficial spreading tumor of any size whose invasive component limited to the bronchial wall and may extend proximal to the main bronchus also is classified as T1a, but these tumors are uncommon

___ pT1b: Tumor >1 cm, but ≤2 cm in greatest dimension

___ pT1c: Tumor >2 cm but ≤3 cm in greatest dimension

___ pT2: Tumor >3 cm but ≤5 cm or having any of the following features:
  • Involves the main bronchus regardless of distance to the carina, but without involvement of the carina;
  • Involves visceral pleura (PL1 or PL2);
  • Associated with atelectasis or obstructive pneumonitis that extends to the hilar region, involving part or all of the lung.

___ pT2a: Tumor >3 cm, but ≤4 cm in greatest dimension

___ pT2b: Tumor >4 cm, but ≤5 cm in greatest dimension

___ pT3: Tumor >5 cm but ≤7 cm in greatest dimension; or directly invading any of the following: parietal pleura (PL3), chest wall (including superior sulcus tumors), phrenic nerve, parietal pericardium; or separate tumor nodule(s) in the same lobe as the primary

___ pT4: Tumor >7 cm in greatest dimension; or tumor of any size invading one or more of the following: diaphragm, mediastinum, heart, great vessels, trachea, recurrent laryngeal nerve, esophagus, vertebral body or carina; or separate tumor nodule(s) in an ipsilateral lobe different from that of the primary

Note: Tumors with these features are classified as T2a if ≤4 cm or if the size cannot be determined and T2b if >4 cm but ≤5 cm.

Regional Lymph Nodes (pN)

___ pNX: Regional lymph nodes cannot be assessed

___ pN0: No regional lymph node metastasis

___ pN1: Metastasis in ipsilateral peribronchial and/or ipsilateral hilar lymph nodes, and intrapulmonary nodes, including involvement by direct extension

___ pN2: Metastasis in ipsilateral mediastinal and/or subcarinal lymph node(s)

___ pN3: Metastasis in contralateral mediastinal, contralateral hilar, ipsilateral or contralateral scalene, or supraclavicular lymph node(s)

Distant Metastasis (pM) (required only if confirmed pathologically in this case)

___ pM1a: Separate tumor nodule(s) in contralateral lobe; tumor with pleural or pericardial nodules or malignant pleural (or pericardial) effusion

___ pM1b: Single extrathoracic metastasis in a single organ (including involvement of a single nonregional lymph node)

___ pM1c: Multiple extrathoracic metastases in single organ or in multiple organs

Specify site(s) (if applicable): ____________________________

Note: Most pleural (pericardial) effusions with lung cancer are a result of the tumor. In a few patients, however, multiple microscopic examinations of pleural (pericardial) fluid are negative for tumor, and the fluid is nonbloody and not an exudate.
If these elements and clinical judgment dictate that the effusion is not related to the tumor, the effusion should be excluded as a staging descriptor.

+ Additional Pathologic Findings (select all that apply)
+ ___ None identified
+ ___ Atypical adenomatous hyperplasia
+ ___ Squamous dysplasia
+ ___ Metaplasia (specify type): ____________________________
+ ___ Diffuse neuroendocrine hyperplasia
+ ___ Inflammation (specify type): __________________________
+ ___ Fibrosis (identify if discernable pattern): __________________
+ ___ Emphysema
+ ___ Other (specify): ____________________________

+ Ancillary Studies

Note: For reporting cancer biomarker testing results, the CAP Lung Biomarker Template should be used. Pending biomarker studies should be listed in the Comments section of this report.

+ Comment(s)
A. Tumor Size

Based on data showing prognosis correlates with invasive size in nonmucinous adenocarcinomas with lepidic and invasive components, the American Joint Committee on Cancer (AJCC) has adopted a rule that for nonmucinous adenocarcinomas with a lepidic component, only the size of the invasive component is used to assign T category. This rule aligns with the recommendation previously set forth by the Union for International Cancer Control (UICC) of using invasive size for T descriptor size. This rule does not apply to other histologic types of lung cancer, including invasive mucinous lung adenocarcinoma.

The invasive component to be measured in nonmucinous adenocarcinomas with a lepidic component includes any histologic subtype other than a lepidic pattern (ie, acinar, papillary, micropapillary, and/or solid) and/or tumor cells infiltrating myofibroblastic stroma. In tumors where the invasive component is not a single discrete measurable focus, estimating the percentage of the total tumor that is invasive and then multiplying by the total tumor size to estimate invasive tumor size is recommended.

B. Tumor Focality

When more than 1 tumor nodule is identified in resection specimens, it is important to attempt distinction of synchronous primary tumors from a tumor with intrapulmonary metastasis. These scenarios have different prognoses and are staged differently. Multiple tumor nodules of different histologic types (eg, 1 squamous cell carcinoma, 1 adenocarcinoma) are considered synchronous primaries and should be recorded as such in the pathology report with an individual TNM assigned to each tumor. In such cases, required reporting elements should be recorded for each primary tumor. For multiple tumor nodules of the same histopathologic type, comprehensive histologic assessment has been proposed for the distinction of synchronous primaries from separate tumor nodules (intrapulmonary metastasis). Other pathologic criteria for distinguishing synchronous primary tumors from separate tumor nodules (intrapulmonary metastasis) that are not based strictly on histologic assessment (eg, assessing similarity of breakpoints using comparative genomic hybridization) are detailed in the 8th edition of the AJCC staging manual.

Multifocal lung adenocarcinoma with lepidic features is the designation applied to multiple discrete foci of lepidic-predominant adenocarcinoma (LPA), minimally invasive adenocarcinoma (MIA), or adenocarcinoma in situ (AIS) with or without other subtypes of adenocarcinoma as lesser components that manifest on computed tomography (CT) as multiple subsolid (either pure ground glass or part solid) nodules. This designation applies whether a detailed histologic assessment shows a matching or different appearance among the tumor foci. Data suggest that in most cases, the multiple lesions represent synchronous primary tumors. Assignment of T category in these cases is based on the highest T lesion, followed by the suffix “m”, indicating multiplicity, or the number of tumors in parentheses (eg, T1b(m) or T1b(2)) (Table 1). It should be noted that foci of atypical adenomatous hyperplasia (AAH) are not counted for the purpose of TNM classification.
In some patients, adenocarcinoma manifests radiographically as diffuse consolidation, which has been designated as "pneumonic-type" lung adenocarcinoma. Such imaging findings typically correspond pathologically to invasive mucinous adenocarcinoma, but mixed mucinous and nonmucinous patterns may also be seen.

Invasive mucinous adenocarcinoma often exhibits lepidic-predominant growth, but robust sampling usually discloses invasive foci. Occasionally, invasive mucinous adenocarcinoma shows a heterogeneous mixture of other growth patterns. To qualify as pneumonic-type adenocarcinoma, tumor should be diffusely distributed throughout a region(s) of lung, as opposed to forming discrete single or multiple well-demarcated nodules or masses.

The size of diffuse pneumonic-type adenocarcinomas, as well as miliary forms of adenocarcinoma, is often difficult to measure. When a single tumor area is present, it is categorized according to standard TNM criteria. Multiple tumor areas are categorized according to the extent of lobar involvement: T3 when limited to a single lobe, T4 when there is involvement of other ipsilateral lobe, and M1a is used to indicate the presence of contralateral lung involvement.

Table 1. Schematic Summary of Disease Patterns and TNM Classification of Patients With Lung Cancer With Multiple Pulmonary Sites of Involvement

<table>
<thead>
<tr>
<th>Second Primary Lung Cancer</th>
<th>Multifocal GG/L Nodules</th>
<th>Pneumonic-type Adenocarcinoma</th>
<th>Separate Tumor Nodule</th>
</tr>
</thead>
<tbody>
<tr>
<td>Imaging features</td>
<td>Two or more distinct masses with imaging characteristic of lung cancer (eg, spiculated)</td>
<td>Multiple ground-glass or part-solid nodules</td>
<td>Patchy areas of ground glass and consolidation</td>
</tr>
<tr>
<td>Pathological features</td>
<td>Different histotype or different morphology based on comprehensive histologic assessment</td>
<td>Adenocarcinomas with prominent lepidic component (typically varying degrees of AIS, MIA, LPA)</td>
<td>Same histology throughout (most often invasive mucinous adenocarcinoma)</td>
</tr>
<tr>
<td>TNM classification</td>
<td>Separate cTNM and pTNM for each cancer</td>
<td>T based on highest T lesion, with (#/m) indicating multiplicity; single N and M</td>
<td>T based on size or T3 if in single lobe, T4 or M1a if in different ipsilateral or contralateral lobes; single N and M</td>
</tr>
<tr>
<td>Conceptual view</td>
<td>Unrelated tumors</td>
<td>Separate tumors, albeit with similarities</td>
<td>Single tumor, diffuse pulmonary involvement</td>
</tr>
</tbody>
</table>

AIS, adenocarcinoma in situ; GG/L, ground-glass/lepidic; LPA, lepidic-predominant adenocarcinoma; MIA, minimally invasive adenocarcinoma. From AJCC Cancer Staging Manual, 8th edition. Used with permission.

References
5. Detterbeck FC, Marom EM, Arenberg DA, et al. The IASLC lung cancer staging project: background data and proposals for the application of TNM staging rules to lung cancer presenting as multiple nodules with ground...

**C. Histologic Type**

For consistency in reporting, the histologic classification published by the World Health Organization (WHO) for tumors of the lung, including carcinoids, is recommended. Although acceptable in small biopsies, a designation of non-small cell lung carcinoma, not otherwise specified (NSCLC, NOS), is not acceptable in resection specimens.

Lung carcinomas should be adequately sampled in order to ensure defining features are satisfactorily represented in the sections examined histologically and the presence or absence of invasion can be thoroughly assessed. The WHO defines invasion in adenocarcinoma as: (1) any histologic subtype other than a lepidic pattern (ie, acinar, papillary, micropapillary, and/or solid), (2) tumor cells infiltrating myofibroblastic stroma, (3) vascular or pleural invasion, or (4) spread through air spaces (STAS).

STAS is a recently described concept which is defined as micropapillary clusters, solid nests or single cells of tumor extending beyond the edge of the tumor into the air spaces of the surrounding lung parenchyma. Initial studies have shown that the presence of STAS is associated with an increased incidence of recurrence in tumors that have undergone limited resection (eg, segmentectomy, wedge resection). At the present time, STAS should not be incorporated into the measurement of tumor size (see Note A).

For cases in which a diagnosis of AIS or MIA is being considered, the lesion must be entirely submitted for histopathologic examination. A diagnosis of MIA is applied to a lepidic-predominant tumor ≤3 cm in size with an invasive component measuring ≤0.5 cm provided it does not exhibit any of the following: (1) tumor invades lymphatics, blood vessels or pleura, (2) tumor necrosis is present, (3) STAS is present. Tumors ≤3 cm with ≤0.5 cm of invasion exhibiting 1 or more of these exclusionary features are classified as lepidic-predominant adenocarcinoma. A diagnosis of AIS or MIA should only be made on solitary lesions ≤3 cm in diameter. Specimens showing only AIS are categorized as Tis (AIS). MIA is classified as T1mi.

For the uncommon occurrence of a lepidic-predominant tumor >3.0 cm with either no invasion or ≤0.5 cm of invasion, it is recommended that such tumors be classified as lepidic-predominant adenocarcinoma and staged as pT1a, as there is insufficient data to conclude they have the same prognostic features as ≤3.0 cm tumors meeting criteria for AIS or MIA.

Classification of adenocarcinomas by predominant histologic pattern can be useful for assessing pathologic grade. The WHO recommends classifying invasive nonmucinous adenocarcinomas according to the predominant subtype and specifying non-predominant subtypes semi-quantitatively in 5% increments. In poorly differentiated cases, immunohistochemistry can greatly aid in classification. This is particularly useful in making a diagnosis of solid-type adenocarcinoma or nonkeratinizing squamous cell carcinoma.

**References**


**D. Histopathologic Grade (G)**

A tiered grading scheme for lung cancer (shown below) has been put forth by the AJCC. However, its reproducibility and prognostic significance have not been rigorously tested, and therefore histopathologic grade is designated as an optional reporting element.
**E. Visceral Pleural Invasion**

The presence of visceral pleural invasion by tumors ≤ 3 cm changes the T category from pT1 to pT2a and increases the stage from IA (IA1, IA2, or IA3 depending on tumor size) to IB in patients with N0, M0 disease.\(^1\) Studies have shown that tumors ≤ 3 cm that penetrate beyond the elastic layer of the visceral pleura behave similarly to similar-size tumors that extend to the visceral pleural surface.\(^2\,^3\) Visceral pleural invasion should therefore be considered present not only in tumors that extend to the visceral pleural surface, but also in tumors that penetrate beyond the elastic layer of the visceral pleura (Figure 1).\(^4\,^6\) To qualify for visceral pleural invasion, tumor cells must have crossed the thickest visceral pleural elastic layer, which is usually the outermost (external) elastic layer in the visceral pleura (the layer closest to the visceral pleural mesothelial-lined surface). In many patients, a thinner, sometimes discontinuous, elastic layer (internal visceral pleural elastic layer) can be appreciated closer to the lung parenchyma. Penetration of tumor through this thinner (internal) elastic layer does not qualify as visceral pleura invasion.\(^7\) The pleural elastic layers can be difficult to appreciate in hematoxylin-eosin stains.

Elastic stains may facilitate the assessment of visceral pleural invasion.\(^2\,^3\,^7\)

![Figure 1. Types of visceral pleural invasion. Staining for elastin (eg, elastic-Van Gieson [EVG] stain) can aid in detection of visceral pleural invasion where it is indeterminate by hematoxylin-eosin (H&E) stain. A and B. Visceral pleural invasion is present when a tumor penetrates beyond the thick (external) elastic layer of the visceral pleura (type PL1 pleural invasion) C. Tumor extension to the visceral pleural surface is also categorized as visceral pleural invasion (type PL2). Both types of visceral pleural invasion raise the T category of otherwise T1 tumors to T2a. D. Visceral pleural invasion is categorized as absent in tumors that do not penetrate the visceral pleural elastic layer (type PL0). (Original magnifications x200 [A], x400 [B and C], x600 [D]).](image)
Based on available data, a tumor with direct invasion across a fissure or directly if the fissure is incomplete into an adjacent ipsilateral lobe should be classified as T2a unless the size of the tumor or other criteria that would dictate a higher T category are met.\textsuperscript{7}

Pleural tumor nodules, including same lobe visceral pleural nodules, separate from the primary tumor (non-contiguous) should be categorized as M1a.\textsuperscript{1}

References

F. Vascular/Lymphatic Invasion
There are data showing lymphatic invasion by tumor represents an unfavorable prognostic finding, but studies on the role of large vessel invasion have produced somewhat conflicting results.\textsuperscript{1-2} The presence of lymphovascular invasion is exclusionary of adenocarcinoma in situ (AIS) and minimally invasive adenocarcinoma (MIA).\textsuperscript{3} Angiolymphatic invasion does not alter the pT and pN classifications or the TNM stage grouping.

References

G. Direct Invasion of Adjacent Structures
In pneumonectomy specimens, centrally located tumors sometimes exhibit direct invasion of the hilar fat and/or other hilar soft tissues. Direct hilar fat/soft tissue invasion without evidence of direct extension into other structures that would meet a higher T designation is categorized as T2a.\textsuperscript{1}

Occasionally, lung cancer specimens consist of en bloc resections that incorporate extrapulmonary structures directly invaded by tumor. Accurate assessment of such specimens requires communication with the surgeon regarding the nature and location of any attached extrapulmonary structures. According to the AJCC, direct invasion of the parietal pleura is categorized as T3, as is direct invasion of the chest wall (including the superior sulcus).\textsuperscript{1} Although not required, specifying the chest wall structures directly invaded by tumor (eg, intercostal muscle[s], rib[s], pectoralis muscle, latissimus muscle, serratus muscle) may facilitate patient management. Direct phrenic nerve and parietal pericardial invasion are also categorized as T3. Tumor extension into the visceral pericardium (epicardium) is categorized as T4.
Direct invasion of central thoracic structures, including the heart, great vessels, mediastinum, trachea, recurrent laryngeal nerve, esophagus, vertebral body, and carina is considered T4. Direct invasion of the diaphragm is also categorized as T4.

References

H. Margins
Surgical margins represent sites that have either been cut or bluntly dissected by the surgeon to resect the specimen. The presence of tumor at a surgical margin is an important finding, because there is the potential for residual tumor remaining in the patient in the area surrounding a positive margin. Peripheral wedge resections contain a parenchymal margin, which is represented by the tissue at the staple line(s). Lobectomy and pneumonectomy specimens contain bronchial and vascular margins and, depending on the completeness of the interlobar fissures and other anatomic factors, may also contain parenchymal margins in the form of staple lines. En bloc resections that contain extrapulmonary structures as part of the specimen have additional margins (eg, parietal pleura, chest wall), which should be designated by the surgeon for appropriate handling. Note that the visceral pleura is not a surgical margin.

I. Treatment Effect
For patients who have received neoadjuvant chemotherapy and/or radiation therapy before surgical resection, quantifying the extent of therapy-induced tumor regression provides prognostically relevant information. Measuring residual tumor size in patients with a partial response can be challenging, as there are often discontinuous clusters of viable tumor at the peripheral edges of an irregular area of treatment-related necrosis. The AJCC suggests multiplying the percentage of the mass that is composed of viable tumor by the size of the total mass to estimate postneoadjuvant tumor size. A “y” prefix is applied to the TNM classification in resections following multimodality therapy (see Note I). If no viable tumor is identified on resection, ypT0 is the appropriate designation.

References

J. Pathologic Stage Classification
The TNM staging system of the AJCC and the UICC is recommended for both non-small cell lung cancer and small cell lung cancer. Typical carcinoid and atypical carcinoid tumors should also be classified according to the TNM staging system.

By AJCC/UICC convention, the designation “T” refers to a primary tumor that has not been previously treated. The symbol “p” refers to the pathologic classification of the TNM, as opposed to the clinical classification, and is based on gross and microscopic examination. pT entails a resection of the primary tumor or biopsy adequate to evaluate the highest pT category, pN entails removal of nodes adequate to validate lymph node metastasis, and pM implies microscopic examination of distant lesions.

TNM Descriptors
For identification of special cases of TNM or pTNM classifications, the “m” suffix and “y,” and “r” prefixes are used. Although they do not affect the stage grouping, they indicate cases needing separate analysis.

The “m” suffix indicates the presence of multiple ground-glass/lepidic tumors in a single site and is recorded in parentheses: pT(m)NM (see Note B).
The "y" prefix indicates those cases in which classification is performed during or following initial multimodality therapy (ie, neoadjuvant chemotherapy, radiation therapy, or both chemotherapy and radiation therapy). The cTNM or pTNM category is identified by a "y" prefix. The ycTNM or ypTNM categorizes the extent of tumor actually present at the time of that examination. The "y" categorization is not an estimate of tumor prior to multimodality therapy (ie, before initiation of neoadjuvant therapy) (see Note I).

The "r" prefix indicates a recurrent tumor when staged after a documented disease-free interval and is identified by the "r" prefix: rTNM.

**T Category Considerations**
The uncommon superficial spreading tumor of any size with its invasive component limited to the bronchial wall, which may extend proximal to the main bronchus, is classified as T1.1

Although obstructive pneumonitis associated with tumor is sometimes seen histologically, accurate assessment of tumor-associated obstructive pneumonitis as well as atelectasis requires integration of clinical and radiographic information. Atelectasis and obstructive pneumonitis recognized by pathology only should not be used for TNM staging.

**N Category Considerations**
Lymph node metastases are an adverse prognostic factor, the extent of which is dependent on the location of the involved lymph nodes. The involved lymph node stations should be recorded according to the International Association for the Study of Lung Cancer (IASLC) lymph node map. Given the nature of the procedure, lymph nodes obtained by mediastinoscopy are often received fragmented, and it may not be possible to distinguish a single fragmented lymph node from fragments of multiple lymph nodes. For this reason, only if the actual number of nodes is known or provided should it be quantified. Otherwise, it is permissible to report the sites of nodal metastases without specifying the number involved.

Although extranodal extension of a positive mediastinal lymph node may represent an unfavorable prognostic finding, it does not change the pN classification or the TNM stage grouping. Extranodal extension refers to the extension of metastatic intranodal tumor beyond the lymph node capsule into the surrounding tissue. Direct extension of a primary tumor into a nearby lymph node does not qualify as extranodal extension.

The anatomic classification of regional lymph nodes proposed by the IASLC is shown below. A complete description of the anatomic limits of each nodal station can be found in the AJCC Staging Manual.1

<table>
<thead>
<tr>
<th>Station</th>
<th>Node Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Lower cervical, supraclavicular, and sternot notch nodes</td>
</tr>
<tr>
<td>2</td>
<td>Upper paratracheal nodes</td>
</tr>
<tr>
<td>3</td>
<td>Prevascular and retrotracheal nodes</td>
</tr>
<tr>
<td>4</td>
<td>Lower paratracheal nodes:</td>
</tr>
<tr>
<td>5</td>
<td>Subaortic nodes (aorto-pulmonary window)</td>
</tr>
<tr>
<td>6</td>
<td>Paraortic nodes (ascending aorta or phrenic)</td>
</tr>
<tr>
<td>7</td>
<td>Subcarinal nodes</td>
</tr>
<tr>
<td>8</td>
<td>Paraesophageal nodes (below carina)</td>
</tr>
<tr>
<td>9</td>
<td>Pulmonary ligament nodes</td>
</tr>
<tr>
<td>10</td>
<td>Hilar nodes</td>
</tr>
<tr>
<td>11</td>
<td>Interlobar nodes</td>
</tr>
<tr>
<td>12</td>
<td>Lobar nodes</td>
</tr>
<tr>
<td>13</td>
<td>Segmental nodes</td>
</tr>
<tr>
<td>14</td>
<td>Subsegmental nodes</td>
</tr>
</tbody>
</table>

Metastasis to nonregional lymph nodes (ie, lymph nodes that are not included in the IASLC map) are assigned to the M1b or M1c category depending or whether single or multiple metastases are present.

**M Category Considerations**
With respect to this protocol, reporting a pM designation (ie, pM1a, pM1b, or pM1c) is required only if metastasis is pathologically confirmed in the specimen(s) being examined. The designation pMX should not be used.
In addition to malignant pleural effusion, malignant pericardial effusion, as well as separate tumor nodule(s) in a contralateral lobe are categorized as M1a. Visceral or parietal ipsilateral pleural tumor nodules and pericardial tumor nodules that are not in direct continuity with the primary lung tumor are also categorized as M1a. Discontinuous tumor nodules in the chest wall or diaphragm are categorized as M1b or M1c depending on whether there are single or multiple nodules.

References